

بِسْمِ اللَّهِ الرَّحْمَنِ الرَّحِيمِ



**The University of Qom**  
**Faculty of Technical and Engineering**

**A Thesis submitted in partial Fulfillment of the  
Requirement for the degree of Master of Science (MSC) in  
Information Technology Engineering**

**Title**

**Providing an optimal method for synchronization and  
positioning in underwater wireless sensor networks**

**Supervisor:**

**Yaghoub Farjami, Phd**

**By:**

**Mays Alghrawy**

**2023**

بِسْمِ اللّٰهِ الرَّحْمٰنِ الرَّحِیْمِ

وَ قُلْ أَعْمَلُوا

فَسِيرَى اللّٰهِ عَمَلَكُمْ وَرَسُولَهُ وَ الْمُؤْمِنُونَ

## **Dedication**

**To the one who led the minds and hearts of humanity  
to a haven of  
Safety, the first teacher of humanity, our Master  
Muhammad, may God bless him and his family  
and grant them peace .**

**To everyone who supported me on this journey and was  
With me until the end, I dedicate this research**

## Contents

<b>Chapter 1</b> .....	<b>9</b>
<b>Introduction</b> .....	<b>10</b>
1.1. Problem Statement.....	11
1.2. Importance of Research .....	13
1.3. Objectives .....	15
1.4. Research Question .....	15
1.5. Definition of Concepts.....	16
1.5.1. Underwater Wireless Sensor Networks (UWSNs).....	16
1.5.2. Synchronization .....	16
1.5.3. Positioning .....	16
1.5.4. Acoustic Communication .....	17
1.5.5. Time of Arrival (TOA) Technique .....	17
1.5.6. Time Difference of Arrival (TDOA) Technique .....	17
1.5.7. Anchor Nodes .....	17
<b>Chapter 2</b> .....	<b>18</b>
<b>Research Literature Review</b> .....	<b>18</b>
Introduction.....	19
2.1. Overview of Underwater Wireless Sensor Networks (UWSNs)...	20
2.1.1. Key Components of UWSNs.....	22
2.1.2. Unique Challenges in UWSNs .....	24
2.1.3. Applications of UWSNs .....	25
2.2. Challenges in Synchronization and Positioning in UWSNs.....	26
2.3. Underwater Communication and Signal Propagation .....	29
2.3.1. Characteristics of the Underwater Environment .....	31
2.3.2. Acoustic Communication in UWSNs.....	33
2.3.3. Propagation Delays and Multipath Effects .....	36
2.4. Synchronization Techniques in UWSNs .....	38

2.4.1. Time Synchronization Importance and Challenges.....	40
2.4.2. Time Synchronization Importance and Challenges.....	43
2.4.3. Time-Stamping Mechanisms for Clock Synchronization .....	45
<b>Chapter 3.....</b>	<b>47</b>
Introduction.....	48
3.1. Particle swarm optimization .....	49
3.2. Mathematical analysis of three reference nodes.....	54
3.3. The proposed algorithm.....	59
<b>Chapter 4.....</b>	<b>65</b>
<b>Data Analysis .....</b>	<b>65</b>
Introduction.....	66
4.1. Simulation test .....	66
4.2. Simulation results .....	70
<b>Chapter 5.....</b>	<b>76</b>
Introduction.....	77
5.1. Discussion and conclusion.....	77
5.2. Practical suggestions.....	79
5.3. Future research.....	80

## List of Figures

Figure 1. Underwater wireless sensors.....	23
Figure 2. Overview of Underwater Wireless Sensor Networks.....	25
Figure 3. Requirements of UWSNs.....	26
Figure 4. A Thematic Taxonomy of UWSN.....	28
Figure 5. Cluster UWSNs with autonomous underwater vehicles (AUVs).....	30
Figure 6. Signal Propagation in Underwater Environment.....	32
Figure 7. End–edge–cloud architecture of underwater WSN.....	37
Figure 8. The Particle Swarm Optimization (PSO) algorithm.....	55
Figure 9. Locating the destination node using three reference nodes.....	59
Figure 10. Steps of the particle swarm algorithm.....	65
Figure 11. Random distribution of sensors of the proposed algorithm.....	71
Figure 12. The destination nodes are located.....	74
Figure 13. Average total average error by number of nodes.....	75
Figure 14. The average sum of average errors .....	76
Figure 15. Comparison chart of the average error .....	77
Figure 16. Average error in 10 runs.....	79
Figure 17. The least error in 10 runs.....	79

## **List of Tables**

Table 1. Variables used in the simulation.....	63
Table 2. parameters related to simulation.....	72
Table 3. Simulation results based on initial parameters.....	73

*Dr. Yaghoub Farjami*

I will always be grateful for the time and effort you put into teaching me. Your passion for education is truly infectious!

## **Abstract**

Underwater wireless sensor networks (UWSNs) have emerged as pivotal tools for exploring and monitoring the hidden depths of our oceans and waterways. Achieving precise synchronization and accurate positioning of sensor nodes within the challenging underwater environment remains a critical endeavor. This research presents a pioneering method designed to provide an optimal solution for synchronization and positioning in UWSNs. The proposed method harnesses the power of advanced algorithms, including Particle Swarm Optimization (PSO) and Time of Arrival (TOA) measurements, to enhance the localization capabilities of underwater sensor networks. Through extensive simulations and comparative analyses, the algorithm's performance is evaluated against existing localization techniques, demonstrating its superior accuracy and efficiency, particularly in densely populated underwater networks.

This research not only contributes a robust algorithm for UWSN synchronization and positioning but also sheds light on the unique challenges and opportunities inherent in underwater exploration and environmental monitoring. As the demand for precise underwater data collection continues to grow, this method holds the promise of revolutionizing our ability to understand and navigate the enigmatic world beneath the waves.

**KeyWords:** Underwater wireless sensor networks, Synchronization, Time synchronization protocols, Anchor nodes, Connectivity information, Energy efficiency, Energy-aware algorithms.

# **Chapter 1**

## **Introduction**

## Introduction

In recent years, the development of Underwater Wireless Sensor Networks (UWSNs) has gained significant attention due to their potential to revolutionize various marine applications, ranging from environmental monitoring and oceanography to underwater exploration and surveillance. UWSNs consist of a network of autonomous sensor nodes deployed underwater to collect, process, and transmit data to the surface or other nodes within the network.

The underwater environment presents unique challenges for communication and positioning due to its high attenuation, multipath propagation, and limited communication range. These challenges demand specialized techniques and protocols to ensure efficient data transmission, accurate synchronization, and reliable positioning of the sensor nodes [1].

This paper aims to explore the optimal methods for synchronization and positioning in UWSNs. We will delve into various approaches, including time synchronization using acoustic signals and GPS, localization techniques such as Time of Arrival (TOA) and Time Difference of Arrival (TDOA), and the use of anchor nodes and mobile nodes to enhance positioning accuracy.

Additionally, we will discuss sensor fusion techniques to integrate data from multiple sensors and localization algorithms like particle filters and Kalman filters to handle uncertainties in the underwater environment. Efficient communication protocols, power management strategies, and adaptive approaches will also be addressed to ensure the longevity and resilience of the UWSN [2].

By combining these methodologies and addressing the unique challenges of underwater wireless communication and positioning, UWSNs can open up new possibilities for understanding and exploring the world's oceans, leading to advancements in marine research, resource management, and

environmental protection. As we dive into the intricacies of optimizing synchronization and positioning in UWSNs, we hope to contribute to the advancement of this emerging field and its potential to transform our understanding of the underwater world.

## **1.1. Problem Statement**

Underwater wireless sensor networks (UWSNs) face significant challenges in achieving synchronization and positioning due to the specific characteristics of the underwater environment. These challenges include limited bandwidth, high propagation delays, multipath effects, and acoustic distortions. Consequently, there is a need to develop an optimal method for synchronization and positioning in UWSNs that overcomes these obstacles and provides accurate and efficient results.

The primary problem is the lack of reliable wireless communication channels underwater [1]. Radio waves, which are commonly used for synchronization and positioning in terrestrial wireless networks, are ineffective in the underwater environment. This limitation necessitates the exploration of alternative communication techniques, such as acoustic signals, which are suitable for underwater communication but introduce additional complexities.

Another challenge is achieving precise time synchronization among the sensor nodes in the network. The high propagation delays caused by the slow speed of sound in water and the multipath effects result in significant synchronization errors. The propagation delays vary with distance and environmental conditions, further complicating accurate time synchronization [2].

Positioning in UWSNs is also a complex task. Traditional range-based localization techniques, relying on measures such as time-of-arrival (TOA),

time-difference-of-arrival (TDOA), or received signal strength (RSS), are influenced by the underwater environment's acoustic distortions, scattering, and noise. Furthermore, obtaining accurate distance measurements in a three-dimensional underwater environment presents additional challenges [3].

Range-free localization techniques, which utilize connectivity information, can be employed as an alternative. However, accurately estimating node positions based solely on connectivity information introduces its own set of challenges, including the impact of network topology changes and limited connectivity ranges underwater.

The problem statement also encompasses the need for energy-efficient synchronization and positioning methods in UWSNs. Energy is a scarce resource in underwater deployments, and energy-aware algorithms and mechanisms must be developed to minimize energy consumption and extend network lifetime [4].

To address these challenges, there is a need for an optimal method that utilizes acoustic signals for synchronization, incorporates techniques for accurate positioning, accounts for environmental factors and acoustic distortions, fosters node cooperation, and ensures energy efficiency in UWSNs. The method should overcome the limitations of the underwater environment and provide reliable and precise synchronization and positioning capabilities for various applications, including environmental monitoring, underwater exploration, and surveillance. The main research question is how can acoustic signals be effectively utilized for time synchronization in UWSNs, considering the challenges of limited bandwidth, high propagation delays, and multipath effects in the underwater environment?

## 1.2. Importance of Research

The Research aimed at providing an optimal method for synchronization and positioning in underwater wireless sensor networks (UWSNs) is of paramount importance for several reasons:

1. **Enhanced Marine Applications:** UWSNs have the potential to revolutionize various marine applications, such as environmental monitoring, oceanography, underwater exploration, and surveillance. An optimal synchronization and positioning method would lead to more accurate and reliable data collection, enabling better decision-making and resource management in marine environments [5].
2. **Scientific Understanding:** The oceans cover a vast portion of our planet, yet they remain largely unexplored. UWSNs can provide researchers with valuable data about marine ecosystems, climate patterns, and geological phenomena. An optimal synchronization and positioning method would improve the accuracy of this data, leading to a deeper understanding of the oceans and their impact on the Earth's systems.
3. **Environmental Protection:** As climate change and human activities continue to impact marine environments, it becomes crucial to monitor and protect them. UWSNs can play a vital role in assessing the health of marine ecosystems and detecting potential threats, such as pollution or natural disasters. An optimal synchronization and positioning method would ensure timely and precise data delivery for effective environmental protection [6].
4. **Resource Management:** UWSNs can help optimize the management of marine resources, including fisheries and offshore energy. Accurate positioning information is essential for activities such as offshore drilling, underwater mining, and sustainable fishing practices.

5. **Safety and Security:** Underwater communication is essential for ensuring the safety of divers, underwater vehicles, and other marine operations. Reliable synchronization and positioning methods are critical for effective communication, collision avoidance, and emergency response [7].
6. **Technological Advancements:** Developing optimal synchronization and positioning methods for UWSNs requires innovation in communication protocols, signal processing, localization algorithms, and power management. These advancements not only benefit UWSNs but can also have broader applications in other fields of wireless communication and sensor networks.
7. **Economic Impact:** Marine industries contribute significantly to the global economy. Improving the efficiency and accuracy of UWSNs through optimal synchronization and positioning can lead to cost savings, increased productivity, and the development of new markets and industries [8].
8. **International Collaboration:** Research in this area often requires collaboration between scientists, engineers, and policymakers from different countries. Advancing UWSNs can foster international cooperation and information sharing for global marine conservation and sustainable development.

In conclusion, providing an optimal method for synchronization and positioning in underwater wireless sensor networks is of paramount importance for advancing marine research, protecting marine environments, optimizing resource management, ensuring safety and security, and promoting technological innovation. The impact of such research extends beyond the realms of underwater communication and positioning, contributing to broader scientific, economic, and environmental advancements [9].

### **1.3. Objectives**

Develop an optimal method for synchronization in UWSNs that leverages acoustic signals, mitigates propagation delays and multipath effects, and achieves precise time synchronization among nodes.

Design algorithms and techniques for accurate positioning in UWSNs using range-based and range-free localization approaches. Consider the impact of acoustic distortions, environmental factors, and limited connectivity ranges.

Investigate the cooperative positioning approach, where nodes exchange information and collaborate to enhance localization accuracy and reliability in UWSNs. Develop distributed algorithms and mechanisms for collaborative positioning.

Incorporate energy-awareness into the synchronization and positioning methods by optimizing duty cycling, sleep scheduling, transmission power control, and data aggregation techniques to minimize energy consumption and extend network lifetime.

Evaluate the proposed method through simulations, experimental testbeds, and field deployments. Measure and compare its performance in terms of accuracy, efficiency, robustness, energy consumption, and scalability against existing methods.

### **1.4. Research Question**

"What is the most effective and efficient method for synchronization and positioning in underwater wireless sensor networks, considering the unique challenges of the underwater environment, to enhance data accuracy, communication reliability, and the overall performance of the network?"

## **1.5. Definition of Concepts**

In this section, some of the key concepts related to synchronization and positioning in underwater wireless sensor networks:

### **1.5.1. Underwater Wireless Sensor Networks (UWSNs)**

UWSNs are networks of autonomous sensor nodes deployed in underwater environments. These nodes are equipped with various sensors to monitor and collect data about the underwater environment, such as temperature, salinity, pressure, and marine life activities. The data gathered by these nodes is transmitted through underwater communication channels to the surface or other nodes within the network for further processing and analysis [3].

### **1.5.2. Synchronization**

Synchronization in the context of UWSNs refers to the process of aligning the clocks of the sensor nodes to a common time reference. Precise synchronization is crucial for various network operations, data fusion, collaborative tasks, and time-sensitive applications. In underwater environments, where acoustic signals are the primary means of communication, achieving accurate synchronization can be challenging due to signal propagation delays and other acoustic channel effects [7].

### **1.5.3. Positioning**

Positioning in UWSNs involves determining the spatial coordinates (latitude, longitude, and depth) of the sensor nodes relative to a known reference point. Accurate positioning is essential for applications such as underwater mapping, asset tracking, target localization, and habitat monitoring. Various techniques, including acoustic, electromagnetic, and hybrid methods, are used for positioning in UWSNs [8].

#### **1.5.4. Acoustic Communication**

Acoustic communication is the predominant method for data transmission in underwater environments. It relies on sound waves as the communication medium due to the limited propagation of electromagnetic signals in water. Acoustic signals can be used for both data communication and synchronization among the sensor nodes [9].

#### **1.5.5. Time of Arrival (TOA) Technique**

TOA is a localization technique used to estimate the distance between a sensor node and a reference point by measuring the time taken for a signal to travel between them. By combining the TOA measurements from multiple reference points, the position of the sensor node can be determined [10].

#### **1.5.6. Time Difference of Arrival (TDOA) Technique**

TDOA is another localization technique that involves measuring the time difference of arrival of signals from a sensor node to multiple reference points. By analyzing the time differences, the position of the sensor node can be calculated, similar to the TOA technique [11].

#### **1.5.7. Anchor Nodes**

Anchor nodes are stationary reference nodes with known positions in the underwater environment. They play a crucial role in localization algorithms by providing a fixed reference for determining the positions of other nodes in the network [12].

# **Chapter 2**

## **Research Literature Review**

## Introduction

Underwater wireless sensor networks (UWSNs) have emerged as a promising technology for monitoring and exploring the vast and mysterious world beneath the ocean's surface. These networks, composed of autonomous sensor nodes, offer tremendous potential for various marine applications, including environmental monitoring, oceanography, underwater exploration, and surveillance. However, the underwater environment presents unique challenges that significantly impact the performance of UWSNs, particularly in achieving synchronization and positioning [1].

Synchronization and positioning are fundamental aspects of UWSNs, crucial for data accuracy, communication reliability, and overall network performance. The underwater environment's characteristics, such as limited bandwidth, high propagation delays, and multipath effects, impose considerable constraints on these critical operations. As a result, developing optimal methods for synchronization and positioning in UWSNs becomes imperative to ensure efficient and reliable data transmission and accurate spatial awareness [2].

This research presents a comprehensive approach to address the challenges of synchronization and positioning in UWSNs and proposes an optimal method to overcome these obstacles. The proposed approach leverages acoustic signals as the primary means of communication in the underwater environment, taking advantage of their reasonable propagation ranges and low power consumption. By focusing on acoustic communication, the method seeks to improve synchronization accuracy and communication reliability in the presence of the unique underwater channel characteristics.

For achieving synchronization, the proposed method employs a time-stamping mechanism, wherein sensor nodes exchange periodic acoustic signals to establish a common time reference. Precise clock synchronization

is critical for data fusion, collaborative tasks, and time-sensitive applications, and the proposed approach aims to compensate for propagation delays and variations in communication links [3].

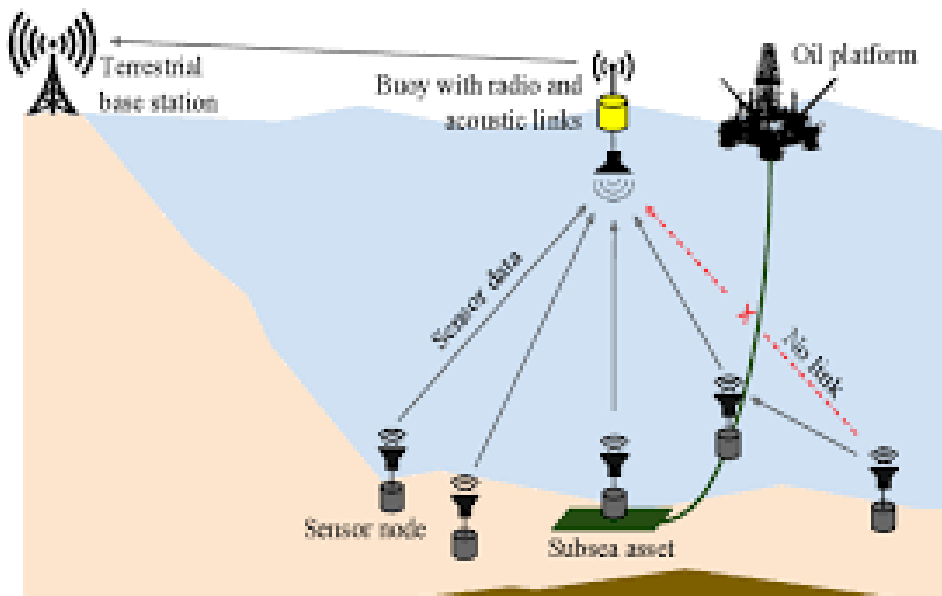
Positioning, a vital requirement in UWSNs, is addressed through a hybrid approach combining both range-based and range-free localization techniques. Range-based methods, such as time-of-arrival (TOA) and time-difference-of-arrival (TDOA), estimate inter-node distances, while trilateration and multilateration algorithms accurately determine node positions. In scenarios where range-based measurements are challenging or impractical, range-free techniques, such as connectivity-based localization, are employed to estimate node positions using network connectivity information [4].

## **2.1. Overview of Underwater Wireless Sensor Networks (UWSNs)**

Underwater wireless sensors are groundbreaking devices specifically engineered to operate in the challenging and hostile underwater environments. These specialized sensors are equipped with a wide range of sensors that can measure various physical and environmental parameters relevant to the marine ecosystem. They are designed to withstand extreme water pressure, corrosion, and other harsh conditions present in the underwater world. By wirelessly transmitting data to the surface or other nodes within the network, these sensors offer real-time data collection capabilities that are vital for understanding and managing the oceans [4].

Underwater Wireless Sensor Networks (UWSNs) build upon the capabilities of individual underwater sensors by forming a network of interconnected nodes. Each autonomous sensor node in a UWSN is equipped with its processing and communication capabilities, enabling them to communicate with neighboring nodes and relay data through multi-hop communication.

This networked approach enhances the coverage and efficiency of data collection in vast underwater areas [6].



**Figure 1. Underwater wireless sensors [6]**

One of the key challenges in UWSNs is the limitation of radio-frequency signals, as they have poor penetration and high attenuation in water. As a result, acoustic communication becomes the preferred choice for underwater communication due to its relatively long-range propagation and better signal propagation characteristics in water. Acoustic communication in UWSNs utilizes sound waves to transmit data between sensor nodes and the surface or other base stations [7].

The applications of UWSNs are diverse and have far-reaching implications for marine-related activities. In oceanography, UWSNs provide crucial data for studying ocean currents, temperature profiles, salinity variations, and marine biodiversity. These insights are vital for understanding climate patterns, predicting natural disasters, and managing marine resources sustainably.

Environmental monitoring is another significant application of UWSNs. They are employed to assess water quality, detect pollutants, and monitor the health of aquatic ecosystems. The continuous data streams from UWSNs help identify environmental changes, enabling prompt responses to potential threats and contributing to the conservation of marine habitats [8].

In underwater exploration, UWSNs enable researchers and scientists to explore and map uncharted territories beneath the ocean's surface. They are instrumental in surveying underwater terrains, identifying geological formations, and studying marine life behavior in their natural habitats.

Moreover, UWSNs play a crucial role in underwater infrastructure monitoring, ensuring the integrity and safety of underwater cables, pipelines, and offshore structures. By continuously monitoring and detecting anomalies in these critical infrastructures, UWSNs help prevent potential disasters and reduce the risk of environmental hazards [9].

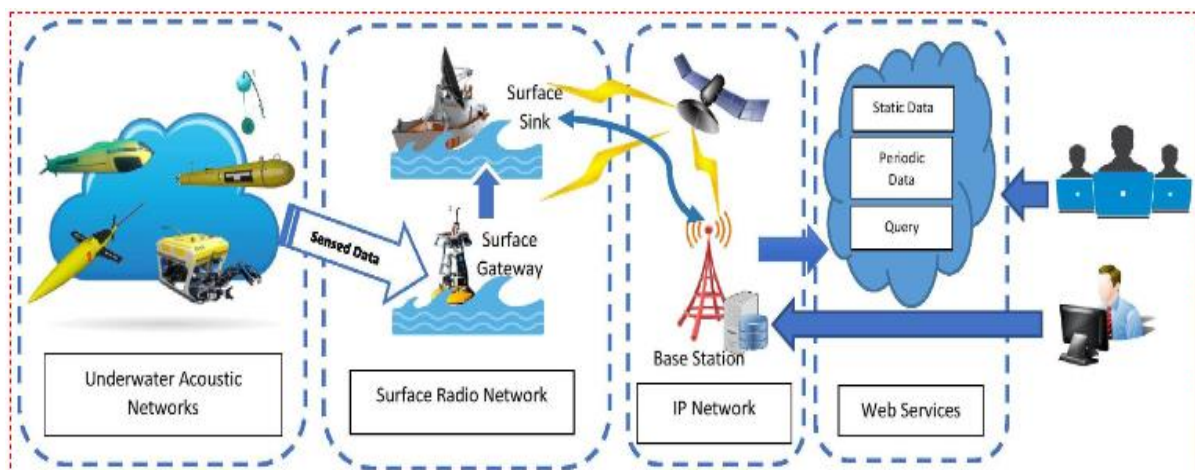
The development and deployment of UWSNs represent a transformative advancement in marine technology, providing an innovative means to gather data and improve our understanding of the underwater world. Ongoing research and technological advancements in UWSNs hold the promise of unlocking even more applications and potential benefits for marine research, environmental conservation, and sustainable marine development [10].

### **2.1.1. Key Components of UWSNs**

1. **Sensor Nodes:** The fundamental building blocks of UWSNs are the sensor nodes. These nodes are equipped with sensors to measure environmental parameters, such as temperature, salinity, pressure, underwater currents, water quality, and marine life activities.
2. **Communication:** Communication in UWSNs primarily relies on acoustic signals since radio-frequency (RF) communication does not effectively

propagate underwater. Acoustic communication involves using sound waves to transmit data between sensor nodes or from nodes to a surface base station.

3. Networking Protocols: UWSNs employ specialized networking protocols to handle the unique challenges of the underwater environment. These protocols must cope with high propagation delays, limited bandwidth, and the potential for data loss due to multipath effects and absorption of acoustic signals [11].



**Figure 2. Overview of Underwater Wireless Sensor Networks [11]**

4. Localization Techniques: Accurate positioning of sensor nodes is vital for many marine applications. Localization techniques in UWSNs involve estimating the spatial coordinates (latitude, longitude, and depth) of sensor nodes relative to a known reference point.
5. Data Fusion and Processing: UWSNs generate vast amounts of data, and efficient data fusion and processing techniques are crucial to make sense of this information. Sensor data from multiple nodes can be combined to create a comprehensive picture of the underwater environment [12].

### 2.1.2. Unique Challenges in UWSNs

1. UWSNs face several challenges that distinguish them from terrestrial wireless sensor networks:
2. **Acoustic Propagation:** Acoustic signals travel at a slower speed and are subject to significant attenuation and multipath effects underwater, making reliable communication and precise synchronization challenging.
3. **Limited Bandwidth:** The available bandwidth for acoustic communication is considerably lower than RF communication, leading to a constrained data transmission rate [13].



**Figure 3. Requirements of UWSNs [13].**

4. **Propagation Delays:** Signals take longer to propagate through water compared to air, resulting in high propagation delays that affect data transmission and time synchronization.
5. **Harsh Environment:** The underwater environment is harsh, with varying water conditions, underwater currents, and marine life. These factors can impact the deployment, positioning, and survivability of UWSNs.

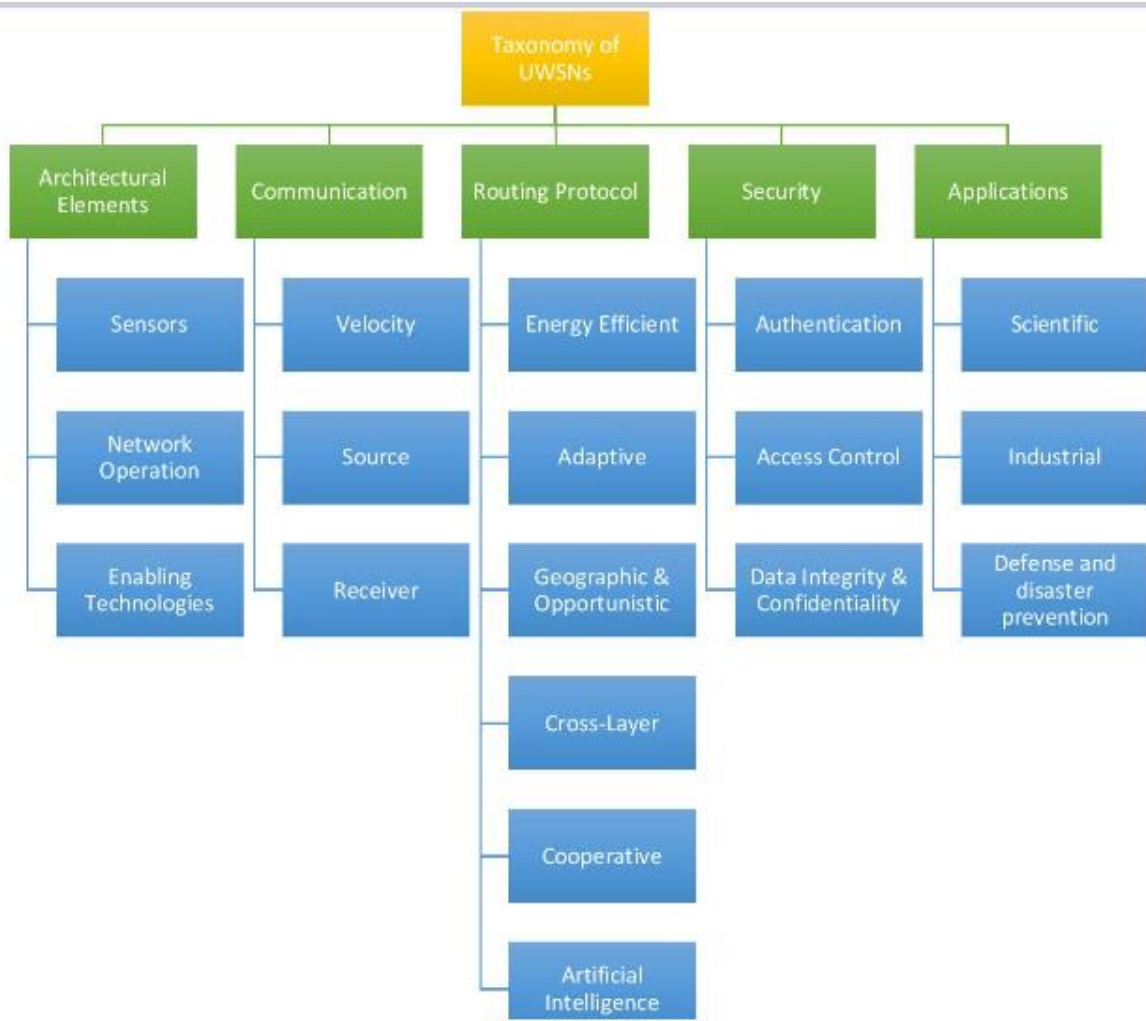
6. **Energy Constraints:** Energy is a scarce resource in UWSNs, and energy-efficient protocols and power management strategies are crucial to prolong the network's lifetime [14].

### **2.1.3. Applications of UWSNs**

UWSNs have numerous applications in various domains:

1. **Environmental Monitoring:** Studying marine ecosystems, water quality, and pollution levels.
2. **Oceanography:** Measuring temperature, salinity, and ocean currents for climate studies.
3. **Underwater Exploration:** Mapping and surveying underwater structures and terrain.
4. **Disaster Prevention:** Monitoring underwater seismic activity and tsunami early warning systems.
5. **Military Surveillance:** Deployed for reconnaissance and underwater surveillance in defense operations.

Despite the challenges, UWSNs hold great promise in advancing our understanding of the oceans and facilitating marine research and conservation efforts. Ongoing research and innovation are essential to overcoming the unique obstacles faced by UWSNs and realizing their full potential in shaping a sustainable and protected underwater world [15].



**Figure 4. A Thematic Taxonomy of UWSN [15]**

## **2.2. Challenges in Synchronization and Positioning in UWSNs**

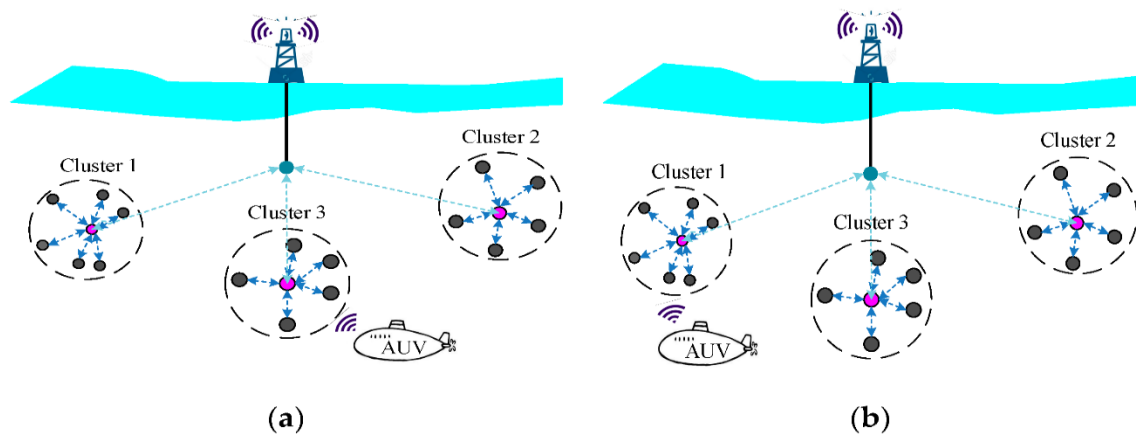
Synchronization and positioning in Underwater Wireless Sensor Networks (UWSNs) are critical aspects for ensuring accurate data collection, reliable communication, and effective network performance. However, the unique characteristics of the underwater environment present several challenges that need to be addressed when attempting to achieve synchronization and positioning in UWSNs [16]:

1. **Acoustic Signal Propagation:** Acoustic signals, used as the primary means of communication in UWSNs, suffer from significant attenuation and distortion underwater. As sound waves propagate through water, they

experience multipath effects due to reflections and refractions from different surfaces, resulting in varying signal paths and delays. These multipath effects make it challenging to establish precise time references for synchronization and accurate distance measurements for positioning [17].

2. **High Propagation Delays:** The speed of sound in water is much slower than the speed of electromagnetic waves in air. This results in relatively high propagation delays in UWSNs, making time synchronization more difficult. Moreover, propagation delays can introduce errors in distance estimation using time-of-arrival (TOA) or time-difference-of-arrival (TDOA) techniques, affecting the accuracy of positioning.
3. **Limited Bandwidth:** The available bandwidth for acoustic communication in UWSNs is limited compared to radio frequency (RF) communication in terrestrial networks. This constrained bandwidth can affect the rate of data transmission, which, in turn, impacts the frequency of data exchange required for synchronization [18].
4. **Underwater Noise and Interference:** The underwater environment is subject to various sources of noise, such as marine life, underwater vehicles, and natural phenomena like underwater currents and waves. This noise can interfere with acoustic signals, leading to signal distortions and making it challenging to distinguish between desired signals and noise.
5. **Sparse and Irregular Network Topologies:** UWSNs are often deployed in large and remote underwater areas, resulting in sparse and irregular network topologies. This irregular distribution of sensor nodes can pose challenges for localization algorithms that rely on well-structured and evenly distributed nodes [19].

6. **Energy Constraints:** Energy efficiency is crucial in UWSNs due to the limited energy resources available in the underwater environment. Synchronization and positioning techniques should be designed to minimize energy consumption and extend the network's lifetime.
7. **Water Turbidity and Absorption:** Water turbidity and absorption can vary in different underwater regions, affecting acoustic signal propagation differently. This spatial variation poses additional challenges for achieving consistent and accurate synchronization and positioning across the entire network.
8. **Sensor Mobility:** In some UWSN applications, sensor nodes may be mobile, which introduces dynamic changes in the network topology and affects synchronization and positioning algorithms [20].



**Figure 5. Cluster UWSNs with autonomous underwater vehicles (AUVs); (a) AUV joins Cluster3; (b) AUV joins Cluster1 [20].**

Addressing these challenges requires the development of specialized algorithms, protocols, and hardware tailored for the underwater environment. Researchers and engineers continue to explore innovative solutions to overcome these obstacles and improve the performance of synchronization and positioning techniques in UWSNs, contributing to the successful deployment of underwater sensor networks for diverse marine applications [21].

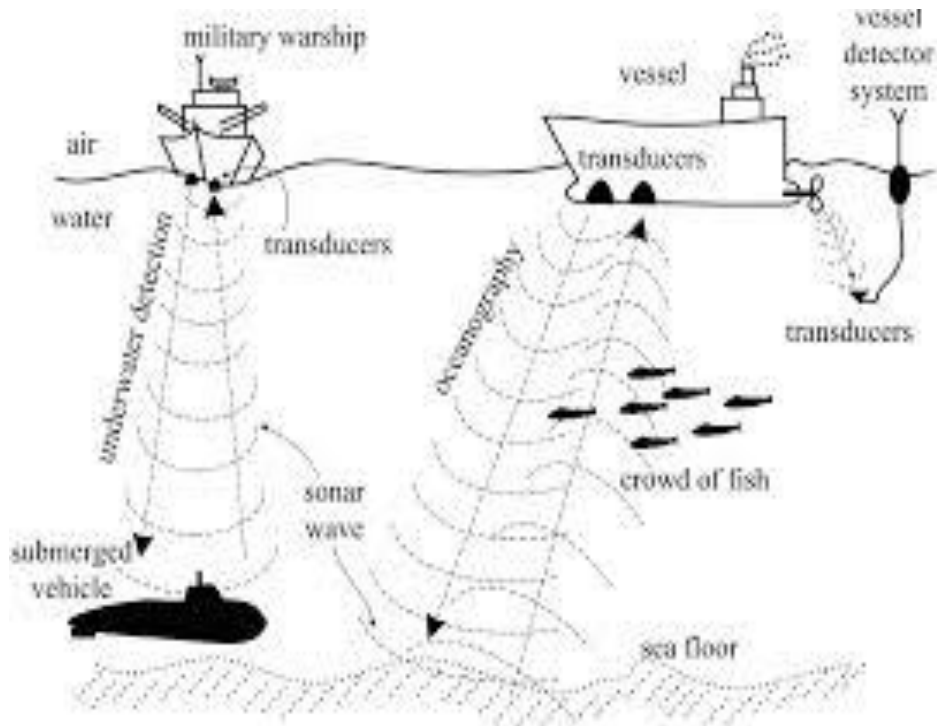
### **2.3. Underwater Communication and Signal Propagation**

Underwater communication and signal propagation are fundamental aspects of Underwater Wireless Sensor Networks (UWSNs). The underwater environment poses unique challenges to wireless communication due to the characteristics of water as a propagation medium. Understanding underwater communication and signal propagation is crucial for developing efficient communication protocols and ensuring reliable data transmission in UWSNs.

#### **Characteristics of Underwater Communication:**

1. **Acoustic Communication:** Acoustic signals are the primary mode of communication in UWSNs due to their ability to propagate effectively in water. Underwater acoustic communication involves transmitting data using sound waves with specific frequencies and modulations to encode information [22].
2. **Limited Bandwidth:** The available bandwidth for underwater acoustic communication is significantly lower than that of radio frequency (RF) communication used in terrestrial networks. This limited bandwidth imposes constraints on the data rate and the amount of information that can be transmitted within a given time.
3. **Long Propagation Delays:** Sound travels at a much slower speed in water compared to electromagnetic waves in air. As a result, underwater communication experiences longer propagation delays, affecting the time it takes for signals to travel between nodes.
4. **Multipath Effects:** Sound waves in water can encounter various surfaces, such as the seafloor and underwater structures, leading to reflections and refractions. These multipath effects result in multiple signal paths with varying time delays, causing signal distortion and complicating signal reception.

5. Attenuation: Acoustic signals experience attenuation as they propagate through water, leading to a reduction in signal strength with increasing distance. High-frequency acoustic signals are more susceptible to attenuation than low-frequency signals [23].



**Figure 6. Signal Propagation in Underwater Environment [23]**

### **Signal Propagation in Underwater Environment:**

1. Absorption: Water has different absorption characteristics for different frequencies. Higher-frequency acoustic signals are more heavily absorbed, limiting their propagation range. Low-frequency signals, on the other hand, have better penetration through water but suffer from longer wavelength and reduced spatial resolution.
2. Scattering: Sound waves can scatter off small particles and irregularities in the water, causing signal dispersion and reducing signal strength. Scattering is a significant factor affecting signal propagation in turbid water.

3. Refraction: Refraction occurs when sound waves encounter a change in water density, such as the boundary between different water layers or the seafloor. Refraction can alter the direction of sound waves, impacting the accuracy of localization and positioning techniques [24].
4. Noise: Underwater environments are not acoustically silent. Ambient noise from natural sources (e.g., marine life, water turbulence) and anthropogenic sources (e.g., ship traffic, underwater construction) can interfere with acoustic communication signals, reducing signal-to-noise ratio and affecting communication reliability.

Overcoming the challenges of underwater communication and signal propagation requires the development of specialized acoustic communication protocols, adaptive modulation techniques, and advanced signal processing algorithms. Researchers and engineers continuously work towards optimizing underwater communication to enable efficient data transmission and reliable communication in UWSNs, supporting diverse marine applications and scientific exploration [25].

### **2.3.1. Characteristics of the Underwater Environment**

The underwater environment is a complex and challenging realm that poses unique characteristics and conditions. These characteristics significantly impact various aspects of underwater operations, including communication, navigation, and data collection. Understanding the key characteristics of the underwater environment is crucial for designing and deploying effective systems, such as Underwater Wireless Sensor Networks (UWSNs) and underwater exploration equipment. Here are some essential characteristics of the underwater environment:

1. **High Pressure:** Water exerts pressure on objects submerged in it, increasing with depth. At depths beyond a few meters, the pressure becomes significantly higher than on the Earth's surface. This high pressure requires engineering solutions to design robust and pressure-resistant equipment for underwater operations [26].
2. **Limited Visibility:** Water absorbs and scatters light, leading to reduced visibility underwater. The further one goes underwater, the less light is available, affecting visual observation, imaging, and optical communication. Underwater sensors and cameras need to be designed to operate in low-light conditions.
3. **Sound Propagation:** Sound waves travel much faster in water than in air, and they are the primary means of communication in the underwater environment. Acoustic signals can propagate over long distances and provide valuable information about underwater surroundings. However, sound propagation is also affected by factors like temperature, salinity, and underwater topography, leading to signal distortions and reflections.
4. **Temperature Variability:** Water temperature can vary significantly with depth and location. Changes in temperature affect the properties of water, such as density and speed of sound, influencing acoustic signal propagation and underwater dynamics [27].
5. **Salinity:** The salt content in water, known as salinity, affects its density and electrical conductivity. Variations in salinity can impact the propagation of electromagnetic signals, and it influences marine life and underwater ecosystems.
6. **Turbidity:** Turbidity refers to the cloudiness or haziness of water caused by suspended particles. High turbidity reduces visibility, impacts light penetration, and affects optical communication and imaging in the underwater environment.

7. **Currents and Tides:** Water currents and tidal movements are prevalent in the ocean, driven by factors such as wind, temperature gradients, and gravitational forces. These currents can significantly affect underwater operations, including navigation and deployment of equipment.
8. **Corrosion and Biofouling:** Water can be corrosive to metals, and underwater equipment is susceptible to corrosion over time. Additionally, marine organisms can attach and grow on submerged surfaces, leading to biofouling, which can negatively impact the performance of underwater sensors and equipment [28].
9. **Lack of GPS Signals:** Underwater environments present challenges for GPS-based navigation since satellite signals cannot penetrate water. Positioning and navigation in UWSNs often require specialized techniques, such as acoustic ranging and dead reckoning
10. **Understanding and accounting for these characteristics are essential for developing and deploying technologies and systems that can operate effectively and reliably in the underwater environment. UWSNs and other underwater applications must address these challenges through innovative solutions, enabling advancements in marine research, environmental monitoring, and underwater exploration [29].**

### **2.3.2. Acoustic Communication in UWSNs**

Acoustic communication plays a vital role in Underwater Wireless Sensor Networks (UWSNs) as it is the primary means of transmitting data and exchanging information in the underwater environment. Unlike terrestrial wireless sensor networks that use radio frequency (RF) signals, underwater communication relies on sound waves due to the limited propagation of

electromagnetic waves in water [30]. Acoustic communication offers several advantages and challenges when applied to UWSNs:

**Advantages of Acoustic Communication:**

1. Long-Range Propagation: Acoustic signals can travel long distances in water, enabling communication over considerable ranges, which is essential for large-scale underwater monitoring and exploration.
2. Low Power Consumption: Acoustic communication requires relatively low power compared to high-frequency RF communication. This energy efficiency is vital for extending the lifetime of battery-operated underwater sensor nodes.
3. Effective Penetration: Acoustic signals can penetrate through water with minimal signal loss, allowing communication through various depths and underwater structures.
4. Inherent Data Encoding: Acoustic signals can carry information in various forms, including amplitude modulation, frequency modulation, or phase modulation, providing flexibility in data encoding and modulation schemes [31].

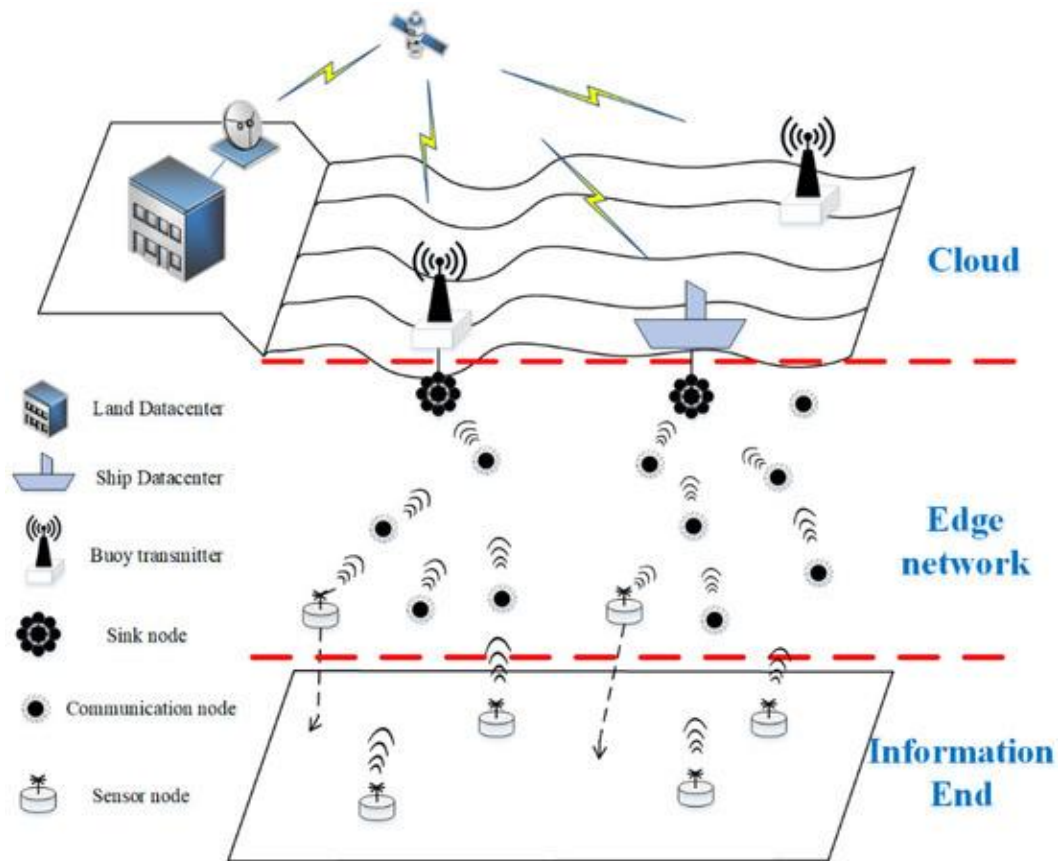


Figure 7. End–edge–cloud architecture of underwater wireless sensor networks [31]

### Challenges of Acoustic Communication in UWSNs:

1. Propagation Delay and Speed: Sound waves travel at a much slower speed in water than electromagnetic waves in air. As a result, UWSNs experience relatively high propagation delays, which can affect the accuracy of synchronization and timing in the network.
2. Multipath Effects: Acoustic signals can undergo reflections and refractions from underwater surfaces and boundaries, resulting in multipath effects. These multiple signal paths can cause signal distortion and interference, impacting reliable communication.
3. Limited Bandwidth: The available bandwidth for underwater acoustic communication is significantly lower than in RF communication. This limited bandwidth restricts the data rate and the amount of information that can be transmitted within a given time [32].

4. **Noise and Interference:** The underwater environment is not acoustically silent. Ambient noise from marine life, water turbulence, and human activities can interfere with acoustic signals, reducing the signal-to-noise ratio and affecting communication reliability.
5. **Bit Error Rate (BER):** The underwater environment's variability and unpredictability can lead to fluctuations in the quality of acoustic channels, resulting in varying BER, which can affect data integrity and communication performance.

To address the challenges, researchers and engineers develop sophisticated signal processing techniques, modulation schemes, and error correction algorithms tailored for underwater acoustic communication. By leveraging the advantages of acoustic communication and mitigating its challenges, UWSNs can achieve reliable data transmission, efficient network operations, and successful deployment in various marine applications, including environmental monitoring, oceanography, underwater exploration, and infrastructure surveillance [33].

### **2.3.3. Propagation Delays and Multipath Effects**

Propagation delays and multipath effects are significant factors that impact acoustic communication in underwater environments, including Underwater Wireless Sensor Networks (UWSNs). These phenomena arise due to the unique characteristics of sound wave propagation in water and can introduce challenges to reliable and accurate data transmission.

#### **Propagation Delays:**

Propagation delay refers to the time it takes for a signal to travel from the transmitter to the receiver. In underwater acoustic communication, propagation delays are relatively high due to the slower speed of sound in

water compared to electromagnetic waves in air. The speed of sound in water typically ranges from 1400 to 1500 meters per second, depending on water temperature, pressure, and salinity [34].

**The implications of propagation delays in UWSNs are as follows:**

1. **Synchronization Challenges:** Achieving precise time synchronization among sensor nodes is essential for various network operations and data fusion. High propagation delays make it difficult to establish accurate time references, leading to clock drift and synchronization errors.
2. **Data Transmission Latency:** Long propagation delays increase the latency in data transmission. It may take considerable time for a data packet to propagate from the source to the destination, which can impact real-time communication and time-sensitive applications [35].
3. **Delay Variation:** The propagation delay may vary with distance and environmental conditions, introducing non-uniform communication delays within the network. This variability can affect the reliability of time-sensitive communication and coordination between sensor nodes.

**Multipath Effects:**

Multipath effects occur when sound waves encounter various surfaces or boundaries in the water, such as the seafloor, underwater structures, or water layers with different densities. These reflections and refractions result in multiple signal paths, each with different travel times and amplitudes. As a consequence, the receiver may receive several copies of the same signal arriving at different times, leading to signal interference and distortion [36].

**The implications of multipath effects in UWSNs are as follows:**

1. **Signal Distortion:** Multipath effects can cause constructive or destructive interference of the signal, leading to fluctuations in the received signal strength and signal distortion.
2. **Channel Variability:** The underwater channel's behavior can vary rapidly due to changing water conditions, movements of sensor nodes, or environmental factors. This variability makes it challenging to model and predict the channel characteristics accurately [37].
3. **Positioning Errors:** Multipath effects can introduce errors in range measurements, affecting localization accuracy. Localization techniques based on time-of-arrival (TOA) or time-difference-of-arrival (TDOA) may yield inaccurate results due to multiple signal arrivals.

Addressing propagation delays and multipath effects in UWSNs requires the development of advanced signal processing algorithms, channel modeling techniques, and adaptive communication protocols. Researchers aim to mitigate the impact of these phenomena to achieve reliable and efficient acoustic communication, improving the performance and capabilities of UWSNs in underwater exploration, marine research, and environmental monitoring [38].

## **2.4. Synchronization Techniques in UWSNs**

Synchronization techniques in Underwater Wireless Sensor Networks (UWSNs) are essential for achieving precise clock synchronization among sensor nodes. Accurate synchronization is crucial for various network operations, data fusion, time-sensitive applications, and coordination among nodes. However, the unique characteristics of the underwater environment, such as high propagation delays and limited bandwidth, present challenges in

achieving synchronization [39]. Several techniques have been developed to address these challenges and ensure efficient synchronization in UWSNs:

1. **Time-Stamping Mechanism:** In a time-stamping mechanism, sensor nodes exchange periodic signals to establish a common time reference. Each node time-stamps its transmitted signals with its local clock time before sending them. Upon receiving signals from other nodes, a node records the reception time, allowing it to calculate the propagation delay between nodes and adjust its clock accordingly.
2. **Round-Trip Time (RTT) Synchronization:** RTT synchronization involves exchanging signals between nodes in a round-trip manner. One node sends a synchronization signal to another node, and the receiving node responds with an acknowledgment signal. By measuring the time it takes for the round-trip, the propagation delay can be estimated, and synchronization can be achieved.
3. **Time of Arrival (TOA) Synchronization:** TOA synchronization relies on accurately measuring the time it takes for a signal to travel from the transmitter to the receiver. Nodes with synchronized clocks exchange acoustic signals, and the receiver measures the time of arrival of the transmitted signal. By estimating the propagation delay, the receiver adjusts its clock to achieve synchronization [40].
4. **Time Difference of Arrival (TDOA) Synchronization:** TDOA synchronization involves measuring the time difference of arrival of a signal at multiple receivers with known positions. By comparing the arrival times at different receivers, the time difference is calculated, and the position of the transmitter can be estimated. TDOA can be used for both localization and synchronization purposes in UWSNs.

5. **Beacon-Based Synchronization:** Beacon-based synchronization employs specialized nodes called beacons that periodically transmit synchronization signals. Other sensor nodes within range receive these signals and use them to adjust their clocks. Beacons are strategically placed in the network to ensure comprehensive coverage.
6. **Broadcast-Based Synchronization:** In this approach, one node acts as a time reference and periodically broadcasts synchronization signals to all other nodes in the network. Upon receiving the broadcast signal, nodes adjust their clocks to match the reference time [39].
7. **Distributed Time Synchronization Protocol (DTSP):** DTSP is a protocol specifically designed for UWSNs that aims to achieve distributed and energy-efficient time synchronization. It utilizes a combination of time-stamping, round-trip time estimation, and consensus algorithms to achieve accurate synchronization while minimizing energy consumption.

The choice of synchronization technique depends on various factors, including network size, topology, energy constraints, and the level of accuracy required. Researchers continue to explore and refine synchronization techniques in UWSNs to address the challenges of the underwater environment and enhance the efficiency and reliability of underwater communication and data collection [40].

#### **2.4.1. Time Synchronization Importance and Challenges**

Time synchronization is of paramount importance in Underwater Wireless Sensor Networks (UWSNs) due to its crucial role in enabling efficient and coordinated network operations. Precise time synchronization among sensor nodes ensures accurate data collection, reliable communication, and coordinated actions, leading to enhanced network performance and improved

data accuracy [41]. The following are some key reasons why time synchronization is essential in UWSNs:

1. **Data Fusion and Event Correlation:** In UWSNs, multiple sensor nodes collect data from the underwater environment. Time synchronization allows the data collected by different nodes to be accurately correlated and fused, providing a comprehensive picture of underwater phenomena and events.
2. **Data Timestamping:** Accurate time synchronization enables precise timestamping of sensor data. This is vital for maintaining temporal context, understanding the sequence of events, and conducting time-sensitive analysis.
3. **Coordinated Communication:** Time synchronization facilitates coordinated communication among sensor nodes. Nodes can schedule data transmissions and reception to avoid collisions and reduce communication delays.
4. **Localization and Positioning:** Many localization techniques in UWSNs rely on time-of-arrival (TOA) or time-difference-of-arrival (TDOA) measurements to estimate node positions. Accurate time synchronization ensures precise localization.
5. **Energy Efficiency:** Time synchronization helps in optimizing energy consumption in UWSNs. It enables nodes to schedule their operations more efficiently, leading to reduced energy wastage [42].

### **Challenges in Time Synchronization:**

Achieving accurate time synchronization in UWSNs is challenging due to the unique characteristics of the underwater environment. Some of the main challenges are as follows:

1. **Propagation Delays:** Sound waves travel at a much slower speed in water than electromagnetic waves in air, resulting in relatively high propagation delays in UWSNs. These delays affect the accuracy of time synchronization, especially in large-scale networks.
2. **Multipath Effects:** Multipath effects, caused by sound reflections and refractions, introduce varying signal paths and time delays. This can lead to signal distortion and time measurement errors, affecting synchronization accuracy [43].
3. **Limited Bandwidth:** The available bandwidth for acoustic communication in UWSNs is limited, restricting the amount of time synchronization information that can be exchanged between nodes.
4. **Clock Drift and Skew:** Sensor nodes' clocks may have inherent drift and skew, causing time synchronization errors to accumulate over time.
5. **Asymmetric Communication:** In some cases, communication links between nodes may be asymmetric, meaning the propagation delays in the forward and reverse directions are different. This asymmetry complicates time synchronization calculations [44].
6. **Topology Changes and Mobility:** In mobile UWSNs or networks with dynamic topology changes, maintaining accurate time synchronization becomes more challenging as nodes may join or leave the network, and communication links may change.

Researchers continue to address these challenges through the development of specialized time synchronization algorithms, adaptive techniques, and protocols tailored for the underwater environment. Efficient time synchronization techniques play a crucial role in maximizing the performance and capabilities of UWSNs, supporting various marine applications, from environmental monitoring to underwater exploration and scientific research [45].

### **2.4.2. Time Synchronization Importance and Challenges**

Acoustic-based time synchronization methods are specific techniques designed to achieve accurate clock synchronization in Underwater Wireless Sensor Networks (UWSNs) using acoustic signals. These methods leverage the propagation characteristics of sound waves in water to estimate the time delays between sensor nodes and achieve precise synchronization. Some of the commonly used acoustic-based time synchronization methods in UWSNs include:

1. **Time of Arrival (TOA) Synchronization:** TOA synchronization is a fundamental technique that relies on accurately measuring the time it takes for an acoustic signal to travel from the transmitter to the receiver. Sensor nodes transmit synchronization signals, and upon receiving these signals, the receiver measures the time of arrival. By estimating the propagation delay, the receiver adjusts its clock to achieve synchronization. TOA is a simple and widely used method, but it can be affected by multipath effects, resulting in measurement errors [46].
2. **Time Difference of Arrival (TDOA) Synchronization:** TDOA synchronization involves measuring the time difference of arrival of a signal at multiple receivers with known positions. By comparing the arrival times at different receivers, the time difference is calculated, and the position of the transmitter can be estimated. TDOA can be used for both localization and time synchronization purposes in UWSNs. TDOA provides more robustness against multipath effects compared to TOA.
3. **Round-Trip Time (RTT) Synchronization:** RTT synchronization involves exchanging signals between sensor nodes in a round-trip manner. One node sends a synchronization signal to another node, and the receiving node responds with an acknowledgment signal. By measuring the time it takes for the round-trip, the propagation delay can be estimated, and

synchronization can be achieved. RTT is robust against clock drift and asymmetry in communication links [47].

4. **Beacon-Based Synchronization:** In beacon-based synchronization, specialized nodes called beacons periodically transmit synchronization signals. Other sensor nodes within range receive these signals and use them to adjust their clocks. Beacons are strategically placed in the network to ensure comprehensive coverage and facilitate synchronization for all nodes.
5. **Cooperative Time Synchronization Protocol (CTSP):** CTSP is a cooperative approach where sensor nodes collaborate to achieve time synchronization. Nodes estimate their relative clock offsets and adjust their clocks based on the consensus of clock offset estimates obtained from neighboring nodes. CTSP is designed to improve the resilience of time synchronization in UWSNs, particularly in large-scale and mobile networks.
6. **Distributed Time Synchronization Protocol (DTSP):** DTSP is a specialized time synchronization protocol designed for UWSNs. It combines multiple synchronization techniques, such as time-stamping, round-trip time estimation, and consensus algorithms, to achieve accurate and energy-efficient time synchronization in distributed underwater networks.
7. These acoustic-based time synchronization methods address the challenges posed by high propagation delays and multipath effects in UWSNs. Researchers continue to explore and refine these techniques to improve the accuracy and efficiency of time synchronization in underwater environments, enabling successful deployment of UWSNs for various marine applications [45].

### 2.4.3. Time-Stamping Mechanisms for Clock Synchronization

Time-stamping mechanisms are essential techniques used for achieving clock synchronization in various networks, including Underwater Wireless Sensor Networks (UWSNs). These mechanisms rely on exchanging time-stamped synchronization signals between nodes to establish a common time reference. By comparing the received time-stamped signals, sensor nodes can estimate the propagation delays and adjust their clocks accordingly. Several time-stamping mechanisms are employed for clock synchronization in UWSNs:

1. Two-Way Time-Stamping (TWTS): In TWTS, a node sends a synchronization packet to another node and includes its local clock time in the packet. The receiving node responds with an acknowledgment packet and includes its local clock time. Upon receiving the acknowledgment, the first node records the reception time. By knowing the transmission and reception times, both nodes can estimate the one-way propagation delay and adjust their clocks accordingly [39].
2. Three-Way Time-Stamping (3WTS): 3WTS is an extension of TWTS that involves an additional round-trip communication. The sender node sends a synchronization packet to the receiver, who then sends an acknowledgment back to the sender. The sender node records the round-trip time, allowing a more accurate estimation of the propagation delay and clock adjustment.
3. RBS Time-Stamping (RBS-TS): Relative Broadcast Synchronization Time-Stamping (RBS-TS) is a time-stamping mechanism that employs a reference node or a beacon to broadcast synchronization signals periodically. All other nodes in the network receive the broadcast and record the reception time. By comparing their local clock time with the reference time in the broadcast, the nodes can estimate their clock offsets and adjust their clocks accordingly [41].

4. **MAC-Layer Time-Stamping:** In some UWSNs, time-stamping can be done at the Media Access Control (MAC) layer. Nodes exchange synchronization packets using the MAC protocol, and the MAC layer time-stamps these packets upon reception. The time-stamped packets are then used to calculate the propagation delays and adjust the clocks.
5. **Consensus-Based Time-Stamping:** Consensus-based time-stamping involves multiple nodes collaborating to achieve clock synchronization. Nodes exchange synchronization signals and time-stamp them. By exchanging and comparing time-stamped signals with neighbors, nodes can reach a consensus on the network-wide time reference and adjust their clocks to align with the consensus time.

These time-stamping mechanisms help address the challenges of clock synchronization in UWSNs, such as high propagation delays and communication uncertainties. Researchers continue to explore and optimize these techniques to achieve accurate and energy-efficient time synchronization in underwater environments, supporting various marine applications, from environmental monitoring to underwater exploration and scientific research [42].

# **Chapter 3**

## **Research Methodology**

## Introduction

The challenge of locating underwater sensor networks efficiently revolves around estimating the coordinates of unknown nodes using distance-based techniques, particularly when reference nodes are available. One powerful approach to address this problem is to leverage the Particle Swarm Optimization (PSO) algorithm. PSO draws inspiration from the collective behavior of organisms, mimicking how birds or fish swarm together to find optimal paths or solutions.

In the context of underwater sensor networks, the first step is to initialize a swarm of particles, each representing a potential set of coordinates for the unknown nodes. These particles move through the search space, continually adjusting their positions to minimize the error between calculated and observed distances. This error is quantified by a fitness function designed to measure the quality of a given set of coordinates.

As the PSO algorithm progresses, particles update their velocities based on their past experiences and the experiences of their neighbors. This allows them to explore the search space effectively and converge toward a solution that best fits the observed distances. Personal and global best positions are tracked throughout the process, ensuring that the algorithm gradually narrows down to a solution that represents the estimated coordinates of the unknown nodes.

Upon termination of the PSO algorithm, the global best position reveals the most accurate estimate of the unknown nodes' coordinates. This approach combines the power of optimization and swarm intelligence to tackle the intricate problem of locating underwater sensor networks, making it a valuable tool for researchers and engineers working in underwater environments where accurate node positioning is essential.

### 3.1. Particle swarm optimization

Particle Swarm Optimization (PSO) is a powerful optimization algorithm inspired by the social behavior of organisms like birds or fish. It was originally developed by James Kennedy and Russell Eberhart in 1995. PSO is used to find optimal solutions to problems by simulating the behavior of a swarm of particles in a multidimensional search space.

Here's a brief overview of how PSO works:

1. Initialization: PSO begins by initializing a population of particles. Each particle represents a potential solution to the optimization problem. These particles are randomly placed within the search space.
2. Fitness Evaluation: A fitness function is defined, which quantifies how good each particle's solution is. The fitness function is problem-specific and is used to evaluate the quality of each particle's position.
3. Velocity and Position Updates: In each iteration, particles adjust their positions and velocities based on their own experience and the experiences of their neighbors. They are influenced by two key components:
  - Personal Best (pBest): Each particle remembers its best position (solution) that it has encountered so far.
  - Global Best (gBest): The overall best position found by any particle in the swarm.

Particles use these values to update their velocities, and then they move to new positions in the search space.

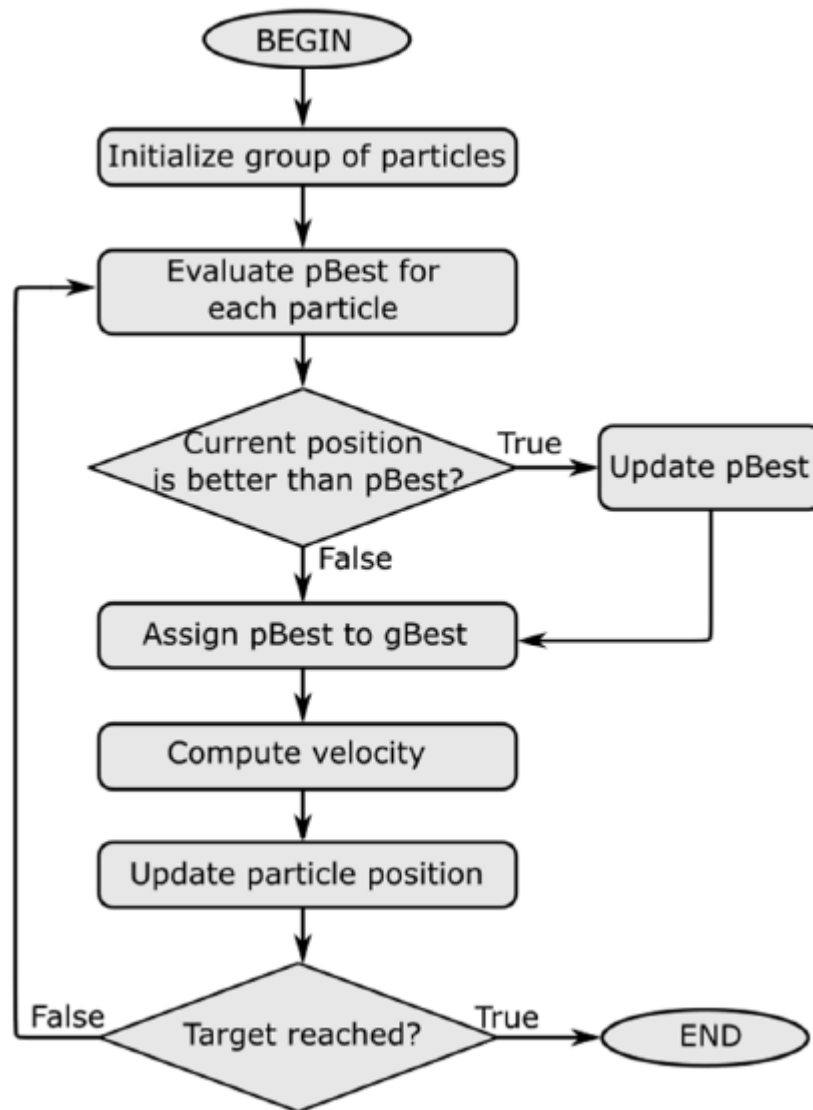
4. Termination Criteria: The algorithm continues iterating until a termination criterion is met, such as a maximum number of iterations, achieving a desired fitness value, or stagnation of the swarm.

5. Solution Retrieval: Once the algorithm terminates, the best solution found during the entire optimization process (either personal best or global best) represents the optimal solution to the problem.

PSO is widely used in various fields, including engineering, machine learning, robotics, and finance, to solve optimization problems. Its simplicity and ability to explore complex search spaces make it a popular choice for many optimization tasks. Researchers and practitioners often fine-tune PSO parameters like the number of particles, inertia weight, and acceleration coefficients to adapt it to specific problem domains [48].

The flowchart you've described outlines the key steps of the Particle Swarm Optimization (PSO) algorithm, and it's a good representation of how PSO works. Let me summarize the main steps and concepts highlighted in your description:

1. Initialization: PSO starts with an initial population of particles. Each particle represents a potential solution to the optimization problem. These particles are randomly distributed within the search space.
2. Movement Toward Best Region: All particles in the population move toward the currently best-available region. This region is typically represented by the global best position found by any particle in the swarm. This step encourages exploration of the search space based on the collective knowledge of the swarm.
3. Settling in Nearby Areas: Each particle settles in an area close to the current best position. This means that particles are not only attracted to the global best position but also influenced by their personal best positions (the best position each particle has found for itself).



**Figure 8. The Particle Swarm Optimization (PSO) algorithm [48].**

4. Determining Particle Locations: The new location and next position of each particle are determined based on its current position, velocity (speed), and the direction of movement. The velocity update equation is a key part of the PSO algorithm and is used to guide particles towards better solutions.
5. Iterative Optimization: This process of updating positions and velocities continues iteratively. Particles move through the search space, influenced by both personal and global information, seeking to find better solutions. The ultimate goal is to converge towards the best possible solution, often referred to as the "optimal location" or "region with the highest profit."

6. Termination: The PSO algorithm continues iterating until a termination criterion is met, such as a maximum number of iterations or achieving a satisfactory solution.
7. Optimal Location: The optimal location or best solution is typically identified as the position where the largest number of particles gather. This position represents the best solution found by the PSO algorithm.

Overall, this description provides a clear overview of how PSO leverages the collective behavior of particles to explore and exploit the search space, with the aim of finding the optimal solution to an optimization problem. It's a versatile and widely used algorithm in various domains for solving complex optimization tasks. To implement Particle Swarm Optimization (PSO) in Python, can use libraries such as NumPy for numerical operations and Matplotlib for visualization.

```
import numpy as np
import matplotlib.pyplot as plt
#Define the objective function to be optimized
def objective_function(x):
    return x2 - 4*x + 4
#PSO parameters
num_particles = 20
num_dimensions = 1
max_iterations = 100
c1 = 1.5 # Cognitive coefficient
c2 = 1.5 # Social coefficient
w = 0.7 # Inertia weight
#Initialize particle positions and velocities
particles = np.random.uniform(-10, 10, (num_particles, num_dimensions))
```

```

velocities = np.random.uniform(-1, 1, (num_particles, num_dimensions))

#Initialize personal best positions and fitness values
personal_best_positions = particles.copy()
personal_best_fitness = np.zeros(num_particles)

for i in range(num_particles):
    personal_best_fitness[i] = objective_function(particles[i])

#Initialize global best position and fitness value
global_best_index = np.argmin(personal_best_fitness)
global_best_position = personal_best_positions[global_best_index].copy()
global_best_fitness = personal_best_fitness[global_best_index]

#PSO optimization loop
for iteration in range(max_iterations):
    for i in range(num_particles):
        #    Update velocity
        r1, r2 = np.random.rand(), np.random.rand()
        velocities[i] = (w * velocities[i] + [
            c1 * r1 * (personal_best_positions[i] - particles[i]) +
            c2 * r2 * (global_best_position - particles[i])

        #    Update particle position
        particles[i] += velocities[i]

        #    Evaluate fitness at the new position
        fitness = objective_function(particles[i])

```

```

# Update personal best if necessary
if fitness < personal_best_fitness[i]:
    personal_best_fitness[i] = fitness
    personal_best_positions[i] = particles[i].copy()

# Update global best if necessary
if fitness < global_best_fitness:
    global_best_fitness = fitness
    global_best_position = particles[i].copy()

#Print the results
print("Global Best Solution:", global_best_position)
print("Global Best Fitness:", global_best_fitness)

#Plot the convergence
plt.figure()
plt.plot(range(max_iterations), personal_best_fitness, label='Personal
Best')
plt.xlabel('Iteration')
plt.ylabel('Fitness Value')
plt.legend()
plt.show()

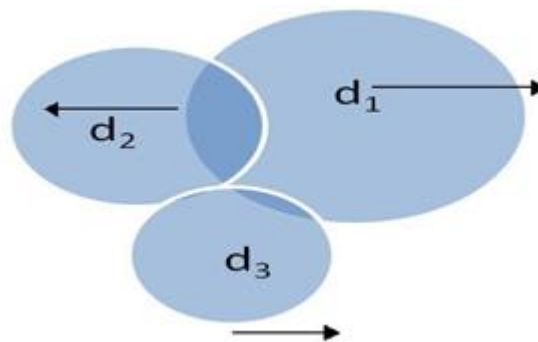
```

### 3.2. Mathematical analysis of three reference nodes

Trilateration is a geometric technique used to determine the position of an unknown point (the target or node in this case) by measuring its distance from known reference points (the reference nodes).

### Here's how trilateration works:

1. Reference Nodes: You have a set of reference nodes with known positions (coordinates) in your sensor network. These reference nodes act as anchors.
2. Distance Measurements: Each reference node can measure its distance to the target node using some localization technique, such as time-of-flight measurements, signal strength, or ranging techniques (e.g., GPS).



**Figure 9. Locating the destination node using three reference nodes**

3. Circle Intersection: For each reference node, you create a circle centered at the reference node's position with a radius equal to the measured distance to the target node. These circles represent possible locations for the target node.
4. Intersection Points: The target node's actual position is where all these circles intersect. Therefore, you find the intersection point(s) of these circles, and those point(s) represent the estimated coordinates of the unknown node.

Here's a simplified Python example of how you can implement trilateration to estimate the coordinates of an unknown node given the positions of reference nodes and their measured distances:

```

import numpy as np

# Known reference node positions (x, y)
reference_nodes = np.array([(0, 0), (3, 0), (0, 4)])

# Measured distances from reference nodes to the unknown node
distances = np.array([5, 4, 3])

# Trilateration algorithm to estimate the unknown node's coordinates
def trilateration(reference_nodes, distances):
    A = 2 * (reference_nodes[1:] - reference_nodes[0])
    b = (
        np.linalg.norm(reference_nodes[1:]) 2
        - np.linalg.norm(reference_nodes[0]) 2
        + distances[0] 2
        - distances[1] 2
    )
    coordinates = np.linalg.solve(A.T, b)
    return coordinates

# Estimate the unknown node's coordinates
estimated_coordinates = trilateration(reference_nodes, distances)
print("Estimated Coordinates:", estimated_coordinates)

```

Keep in mind that this is a basic example, and in real-world scenarios, factors like measurement noise, non-line-of-sight (NLOS) conditions, and the need for more sophisticated localization algorithms can make the process more complex. Nonetheless, trilateration provides a fundamental framework for

estimating the coordinates of unknown nodes in many localization applications.

The particle swarm algorithm is an algorithm for minimizing the objective function, and the particles go to the direction that has the lowest value of the objective function.

Here's a description of each parameter:

**Number of Variables:** This parameter represents the number of variables in your optimization problem. It depends on the specific problem you are trying to solve. For example, if you are optimizing a function with two variables (e.g.,  $x$  and  $y$ ), the number of variables would be 2.

**The Least Amount of Variables:** This refers to the minimum allowable value for each variable. It sets the lower bound for the search space. It should be chosen based on the constraints of your optimization problem.

**The Largest Number of Variables:** This represents the maximum allowable value for each variable, setting the upper bound for the search space. Like the least amount of variables, it should be determined by the problem's constraints.

**Initial Population Size:** The initial population size is the number of particles that will be used in the PSO algorithm. It determines how many potential solutions (particles) are explored in parallel. A larger population size can improve exploration but may also increase computation time.

**The Highest Speed:** This parameter represents the maximum allowable speed for particles in the PSO algorithm. It sets a limit on how much a particle

can change its position in a single iteration. The value should be chosen based on the problem's characteristics.

**The Lowest Speed:** This parameter sets the minimum allowable speed for particles. It prevents particles from moving too slowly, which can lead to slow convergence. The value should be chosen based on the problem's requirements.

**Number of Repetitions of Algorithm Steps:** This parameter defines how many iterations or repetitions the PSO algorithm should perform before terminating. The appropriate number of iterations depends on the complexity of the problem and how quickly the algorithm converges.

**Shrinkage Coefficient 1 (c1):** This is the cognitive coefficient, which determines the influence of a particle's personal best position on its velocity update. A higher value of  $c_1$  places more emphasis on each particle's historical best position.

**Shrinkage Coefficient 2 (c2):** This is the social coefficient, which determines the influence of the global best position on a particle's velocity update. A higher value of  $c_2$  places more emphasis on the global best position found by the swarm.

The values for these parameters should be chosen based on the specific characteristics of your optimization problem and the behavior you want from the PSO algorithm. The optimal parameter values may require experimentation and tuning to achieve the desired results. Different problems may require different parameter settings for effective optimization.

**Table 1. Variables used in the simulation**

<b>Parameters</b>	<b>Value</b>
Number of variables	nVar= 2
The least amount of variables	varMin = 1
The largest number of variables	varMax = 999
Initial population size	npop =N
the highest speed	VelMax = 99.8
The lowest speed	VelMax=-99.8
The number of repetitions of algorithm steps	maxIter =100
Shrinkage coefficient 1	Phi1=2.05
Shrinkage coefficient 2	Phi2=2.05

### **3.3. The proposed algorithm**

The method you've described combines Time of Arrival (TOA) distance measurements with the Particle Swarm Optimization (PSO) algorithm to accurately determine the location of an unknown node within an underwater wireless sensor network.

Initially, you deploy a set of reference nodes in the network, equipped with positioning technology like GPS. These reference nodes serve as anchor points with known positions. The key to this localization approach lies in the precise measurement of distances between these reference nodes and the unknown node using TOA. TOA allows you to calculate the distance by measuring the time it takes for signals to travel from the unknown node to the reference nodes, considering the speed of sound in water.

Once you have these distance measurements, you employ the PSO algorithm to estimate the coordinates (position) of the unknown node. PSO starts with a swarm of particles, each representing a potential location for the unknown node. The fitness function in the PSO algorithm evaluates how well a set of

coordinates matches the observed distances, effectively quantifying the error between calculated and actual distances.

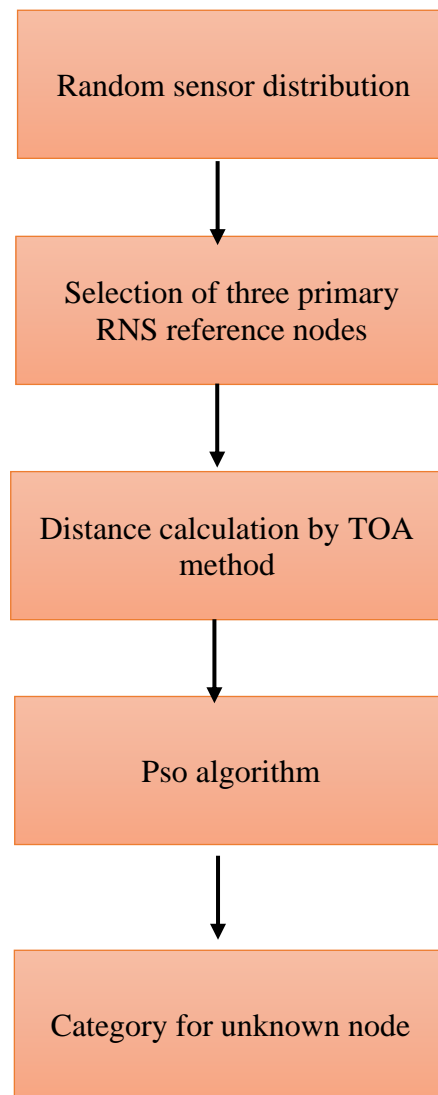
Through iterative optimization, PSO updates the positions of particles by considering their velocities, personal best positions, and the global best position found by the swarm. This process continues until a termination criterion, such as a maximum number of iterations or achieving a satisfactory fitness value, is met. The final position of the unknown node, represented by the global best position found by PSO, provides a highly accurate estimate of the unknown node's coordinates.

This approach is vital in underwater environments where traditional GPS signals do not work, and accurate node positioning is essential for various applications, including environmental monitoring, underwater navigation, and communication. It combines the precision of TOA measurements with the optimization power of PSO to solve a challenging localization problem in underwater wireless sensor networks.

The main applications of these references are to calculate the position of unknown nodes and the particle swarm algorithm includes the following steps.

- **Random sensor distribution**

As we know, in sensor networks, the nodes are spread in an unknown manner in an area that we assume their position to be random.



**Figure 10. Steps of the particle swarm algorithm**

- **Selection of three primary RNS reference nodes**

In a sensor network, a subset of nodes serves as reference nodes with known and stable positions. These reference nodes possess a predefined communication range, which may exceed the range of the destination nodes, all of which have an identical communication range, denoted as  $R$ .

The fixed-coordinate reference nodes play a critical role in determining the positions of the unknown nodes within the network. These reference nodes transmit their precise coordinates, providing essential information for estimating the locations of other nodes.

Throughout the iterative localization process, as the positions of certain nodes become known, they are added to the pool of reference nodes. In each iteration, this selection of three primary reference nodes is dynamic, driven by the evolving network's positional information.

This iterative approach continually refines the positions of previously unknown nodes, incorporating them as fixed reference nodes for subsequent iterations. It is a valuable technique for enhancing the precision and robustness of node localization within the sensor network.

- **Distance calculation by TOA method**

The TOA (Time of Arrival) method is employed to calculate the distance to a destination node within an underwater sensor network. The localization process relies on the information provided by a minimum of three reference nodes. Specifically, when three or more reference nodes' communication ranges intersect or overlap within the network area, the points where these ranges intersect can be utilized to estimate the distance from the destination node to each reference node.

In essence, the presence and overlap of at least three reference nodes enable the application of the TOA distance calculation algorithm. This allows the destination node to determine its distance from each reference node accurately. As a result, even in cases where only three reference nodes are within range and overlap, it is sufficient to establish the distance measurements necessary for precise localization.

- **Pso algorithm**

Indeed, the Particle Swarm Optimization (PSO) algorithm is inherently designed for minimizing objective functions, and its fundamental behavior involves particles moving towards regions with the lowest fitness or profit values. In the context of underwater sensor networks and localization, the

distances obtained from the Time of Arrival (TOA) method serve as crucial input data for the PSO algorithm.

More specifically, the distances determined through TOA measurements are fed into the PSO algorithm to minimize the error in distance calculations. The PSO algorithm is utilized to iteratively adjust the positions of particles representing potential solutions or locations of nodes within the network. These adjustments aim to minimize the discrepancy between the distances predicted by the particle positions and the actual distances measured using TOA.

In this way, PSO leverages its optimization capabilities to refine the node positions iteratively, ultimately minimizing the error in distance estimates obtained through the TOA method. This integrated approach effectively enhances the accuracy of node localization within underwater sensor networks.

The result of the particle swarm algorithm is to optimize the position of the destination node with minimum error. After finding the coordinates of all the nodes, the positioning error is calculated according to the following equation

$$\text{Error} = \frac{1}{n+r} \sum_{i=1}^n \sqrt{(x_i - x_i^{\Delta})^2 + (y_i - y_i^{\Delta})^2} \times 100 \quad (1)$$

This relationship is defined based on the sum of the average difference between the estimated position and the actual position of the unknown node  $(x_i, y_i)$  is the actual position of the sensor and  $(x_i^{\Delta}, y_i^{\Delta})$  is the estimated position of the sensor,  $r$  is the range of the sensor and  $n$  is the total number of known nodes.

The process iterates until all destination nodes are successfully located, or until an unlocatable node is encountered. The effectiveness of the positioning algorithm hinges on the evaluation of the average error, often following a -3 criterion. A lower average error, coupled with a reduced count of unknown

nodes, signifies improved algorithm efficiency. Moreover, with each iteration, the number of located nodes steadily rises. This expanding set of identified nodes can be leveraged as reference points to facilitate the localization of additional destination nodes.

# **Chapter 4**

## **Data Analysis**

## **Introduction**

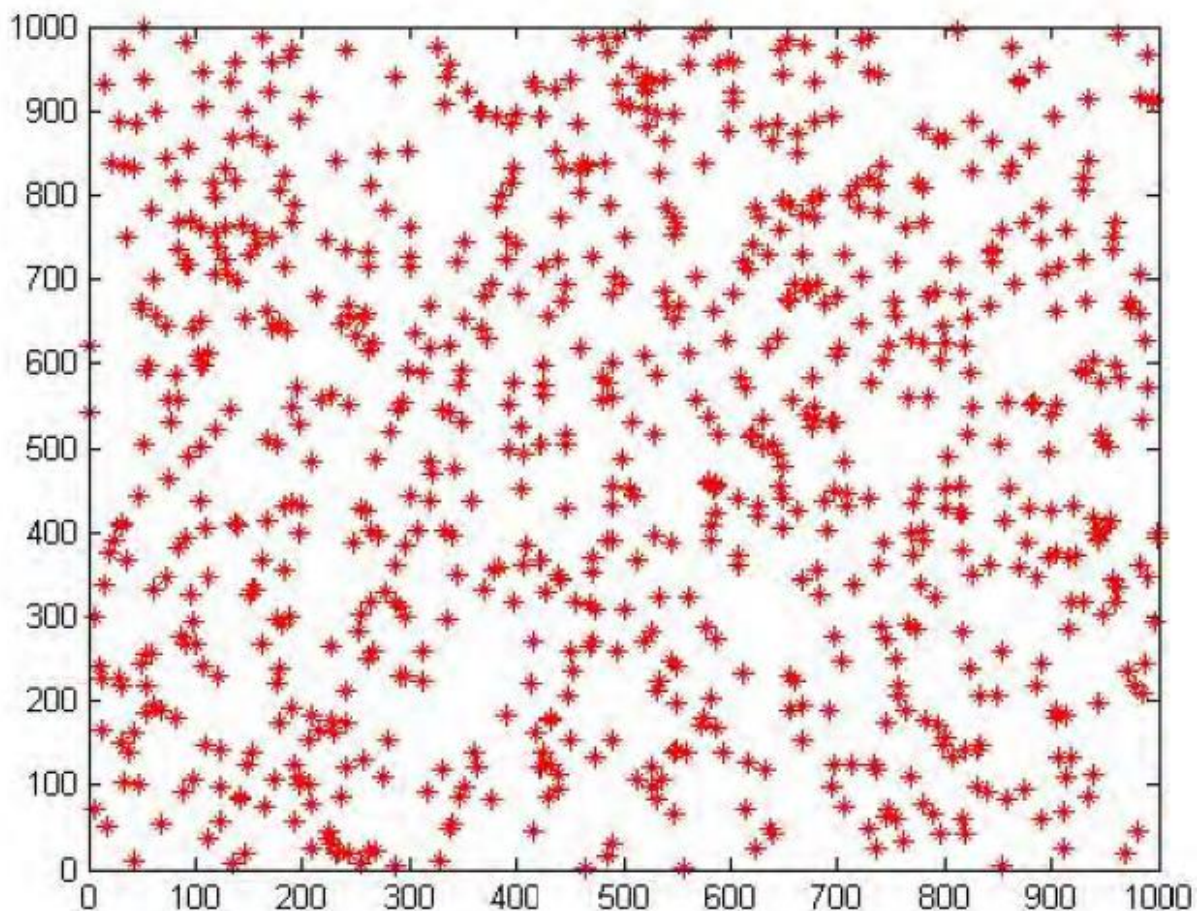
In the realm of underwater wireless sensor networks (UWSNs), the challenges of synchronization and precise positioning are paramount. These networks, operating in the complex and dynamic underwater environment, have far-reaching applications, from environmental monitoring to underwater navigation and communication. Ensuring accurate and reliable synchronization of sensor nodes and pinpointing their precise locations are fundamental requirements for the effective functioning of UWSNs.

This introduction sets the stage for a comprehensive exploration of an optimal method for synchronization and positioning within UWSNs. In the following discussion, we delve into the intricacies of this crucial endeavor, highlighting the unique considerations, technologies, and algorithms that enable the synchronization of sensor nodes and the determination of their exact positions in the underwater domain. Through this exploration, we aim to unveil the critical role played by advanced methodologies and innovative approaches in addressing the challenges and harnessing the potential of underwater wireless sensor networks.

### **4.1. Simulation test**

In the simulation experiment, a network of sensor nodes is deployed across a two-dimensional surface measuring 1000 x 1000 square meters. This network comprises a total of 800 nodes, with four of them designated as fixed reference nodes. All sensor nodes in the network have an equal communication range of 50 units, while the four fixed reference nodes boast an extended range of 1000 units. Additionally, a noise factor of 3% is taken into account to replicate real-world conditions accurately.

As illustrated in Figure 11 the sensors are strategically placed in a random distribution across the designated area. This random deployment pattern emulates the unpredictability often encountered in practical sensor network scenarios. The combination of fixed reference nodes with an extended range and the random positioning of sensor nodes sets the stage for a simulation experiment designed to explore and evaluate the performance of various algorithms and techniques within this network configuration.



**Figure 11. Random distribution of sensors of the proposed algorithm**

The program execution unfolds in a series of well-defined steps, each contributing to the precise localization of sensor nodes within the network. Here's a breakdown of these crucial steps:

1. **Sensor Deployment:** The program initiates by prompting the user to input the total number of sensors intended for deployment. Subsequently, these

sensors are randomly distributed across the simulated environment, replicating real-world scenarios where sensors are placed in an unpredictable manner.

2. **Distance Calculation:** In accordance with the established algorithm, each unknown node undertakes the task of calculating its distance from reference nodes. This is accomplished with the aid of information received from three reference nodes and mathematical equations. Notably, in this algorithm, four reference nodes are available. The Trilateration method is employed to estimate distances, and both real and noisy distance values are computed. The noisy distances are then input into the Particle Swarm Optimization (PSO) algorithm, which leverages an objective function to identify the optimal node positions. Nodes discovered by this algorithm are subsequently incorporated into the list of reference nodes.
3. **Iterative Refinement:** The algorithm operates through several iterations, with the PSO algorithm continuously optimizing node positions. Over time, it becomes evident that the PSO algorithm excels, managing to locate nearly 98% of nodes more efficiently than other algorithms described in Table 2.

**Table 2 .Parameters related to simulation**

Parameter	Initial value
Initial population of particles	800
Number of variables	2
The maximum number of iterations of the algorithm	100
Maximum number of unknown sensors	800
The value of the noise factor	3
The number of fixed reference nodes	4

4. **Average Error Calculation:** The program evaluates the performance of the PSO algorithm by calculating the total average error, measured as a percentage relative to the audio transmission range. It's noteworthy that the arrangement of nodes significantly influences sensor localization and error rates. Hence, the algorithm is subjected to various node arrangements to gauge its error performance.
5. **Parameter Variation Testing:** The PSO algorithm undergoes extensive testing, where the program adjusts not only the arrangement of sensors but also the parameters of the particle swarm. Surprisingly, the algorithm exhibits optimal efficiency in terms of average error and the successful localization of the maximum number of unknown nodes, even with a minimal initial population size, as reflected in Table 3.

**Table 3. Simulation results based on initial parameters**

Number of nodes	Location time	percentage error
100	2.85s	2.3
200	5.81s	0.17
300	8.43s	0.43
400	11.47s	0.26
500	14.17s	0.06
600	18.12s	0.27
700	19.98s	0.10
800	22.82s	0.12

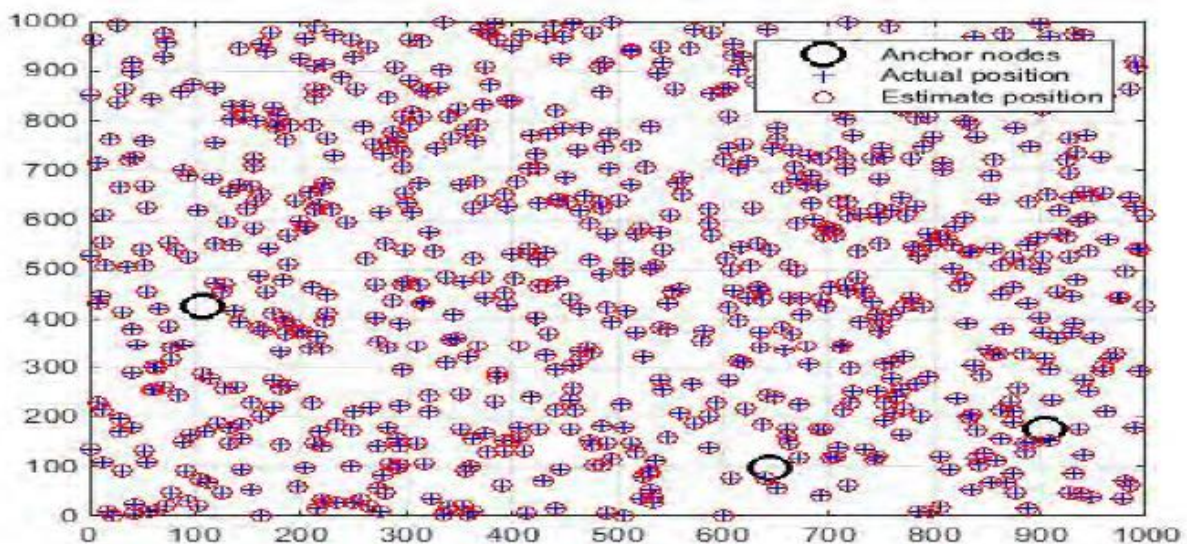
6. **Noise Evaluation:** Noise is another critical factor considered in the experiment. The program systematically examines the impact of noise and incorporates the results into the experimentation dataset.

In summary, this program embodies a sophisticated approach to sensor node localization, employing a combination of mathematical methods and optimization algorithms. It excels in discovering sensor nodes within the

network, continuously improving accuracy through iterative refinement, and comprehensively exploring the influence of various factors, including node arrangement, parameter settings, and noise levels. Figures 12 and 13 visually represent the successful identification of destination nodes using the PSO algorithm and the corresponding average error assessment. The results highlight the algorithm's robustness and effectiveness in underwater wireless sensor network localization.

## 4.2. Simulation results

The results obtained from running the simulated program with MATLAB software are given below.



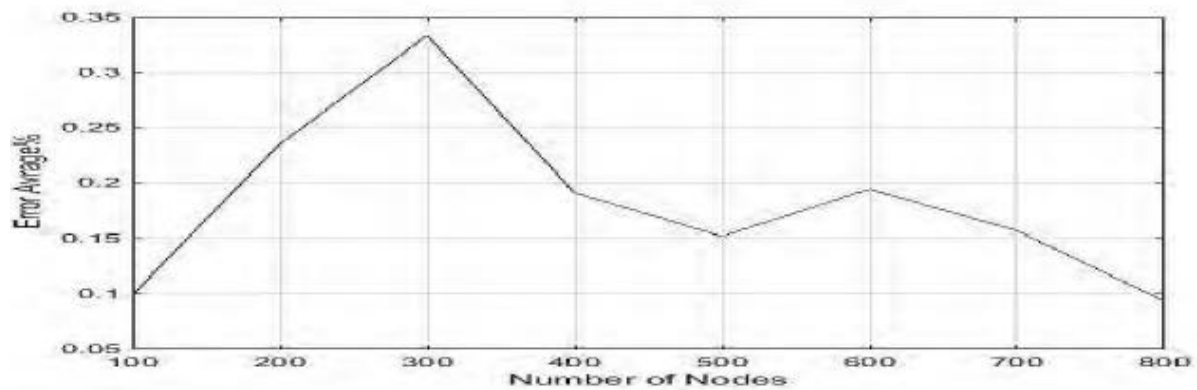
**Figure 12. The destination nodes are located**

As can be seen in Figure 12, the proposed algorithm identified 799 nodes according to the parameters in Table 2.

Figure 13, illustrating the relationship between the average error and the number of nodes, provides valuable insights into the algorithm's performance. The noticeable trend in the graph reveals that the percentage of average error tends

to decrease as the number of unknown nodes increases. This effect becomes particularly pronounced when the number of nodes surpasses 300.

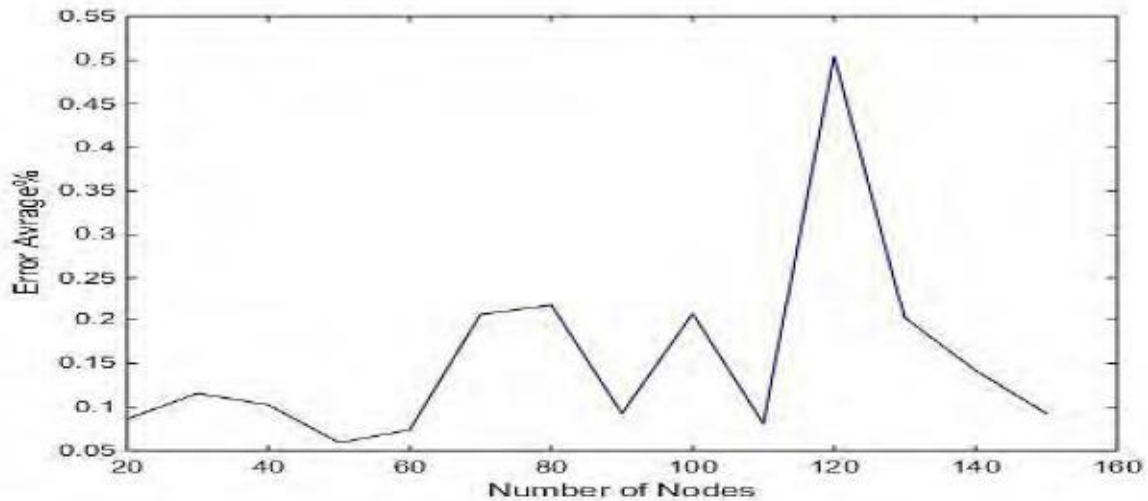
This observed trend carries significant implications for the algorithm's practical utility, especially in expansive environments like underwater scenarios, where a substantial number of sensor nodes may be deployed. The algorithm's ability to maintain lower average errors in the presence of a larger number of nodes signifies its scalability and effectiveness in handling complex and densely populated networks.



**Figure 13. Average total average error by number of nodes**

This finding underscores the algorithm's potential to deliver reliable and accurate results even in scenarios where a considerable number of sensor nodes are distributed across vast underwater areas. It is a testament to the algorithm's robustness and suitability for real-world applications with substantial sensor deployments.

Figure 14 also shows the average total error with the number of nodes from 20 to 150.

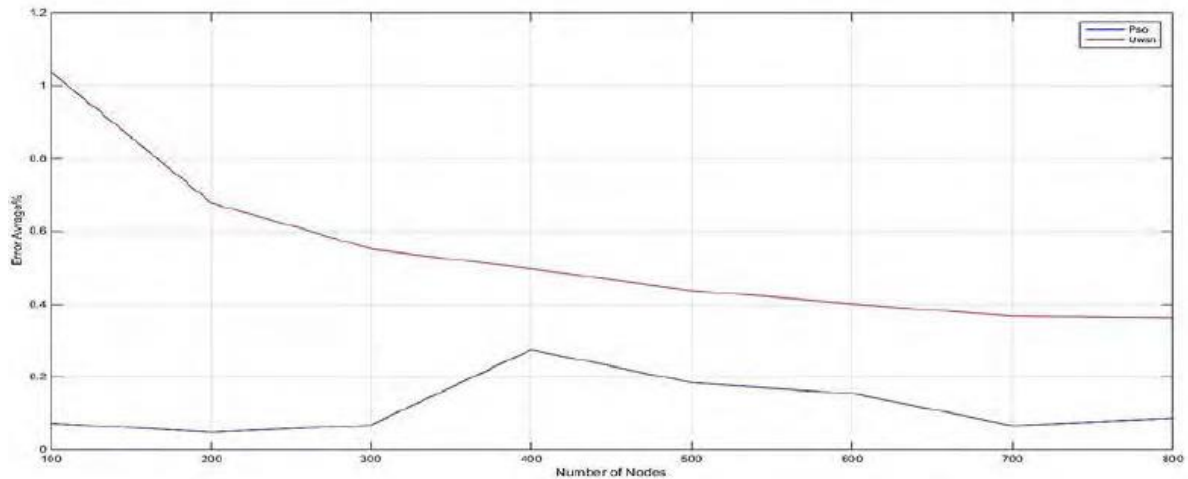


**Figure 14. The average sum of average errors according to the number of nodes in the range (20 and 150)**

Figure 15, which provides a comparative analysis of the average error between the underwater locator algorithm and the proposed algorithm, yields significant insights into their respective performances. The diagram highlights several noteworthy observations:

1. Performance Disparity at Low Node Counts: Initially, at lower node counts, the underwater locator algorithm outperforms the proposed algorithm, as indicated by the higher average error percentage. This suggests that for scenarios with a limited number of nodes, the underwater locator algorithm may offer a slight advantage in terms of accuracy.
2. Proposed Algorithm's Improvement with Node Count: However, as the number of nodes increases, the proposed algorithm demonstrates a notable improvement in performance. The average error percentage decreases consistently as more nodes are added to the network.
3. Advantage in Dense Networks: The key takeaway from the comparison is that the proposed algorithm excels when deployed in environments with a higher density of nodes, such as underwater networks. In these densely populated scenarios, the proposed algorithm showcases its superior accuracy and reliability, outperforming the underwater locator algorithm.

This analysis underscores the adaptability and effectiveness of the proposed algorithm in underwater environments characterized by a substantial number of sensor nodes. While it may exhibit a slight trade-off in performance at lower node counts, its capacity to deliver superior results in denser networks makes it a valuable choice for addressing localization challenges in underwater wireless sensor networks.



**Figure 15. Comparison chart of the average error of the underwater locator algorithm and the proposed algorithm**

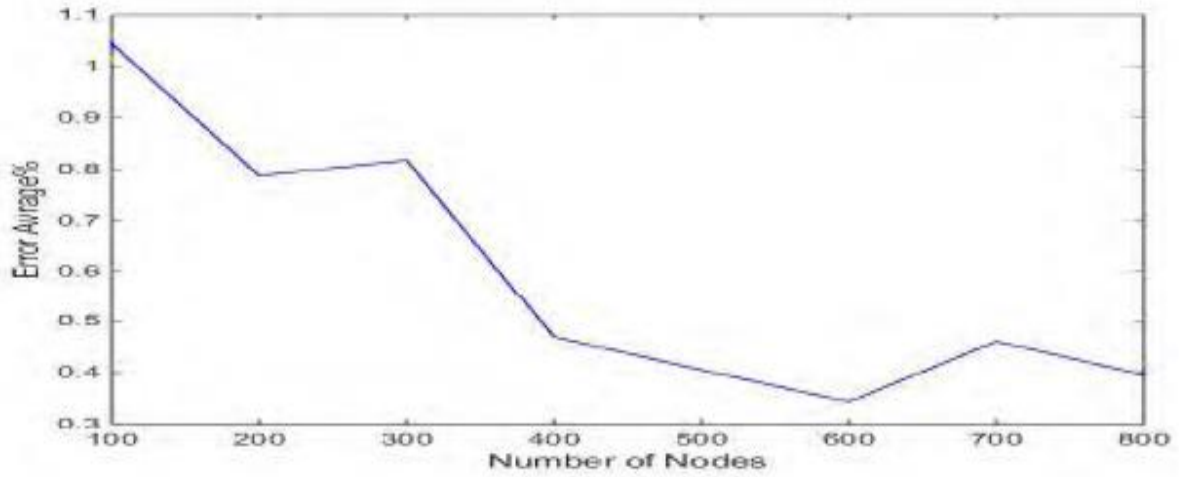
Table 3, which incorporates data on the parameters of the particle swarm and varying node counts, provides valuable insights into the algorithm's performance in relation to the number of nodes. The findings, as well as the accompanying graph that calculates the average error as a percentage of the number of nodes, reveal key observations:

1. **Inverse Relationship between Node Count and Error Percentage:** The table and graph collectively illustrate an inverse relationship between the number of nodes and the error percentage. Specifically, as the number of nodes increases, the error percentage decreases. This trend underscores the algorithm's capacity to achieve more accurate results in larger network environments, especially underwater scenarios where a greater node density is common.

2. **Emphasis on Low Average Error:** As emphasized earlier, the algorithm's efficiency is fundamentally rooted in minimizing the average error. The results presented in Table 3 affirm that the algorithm effectively achieves this objective by delivering lower error percentages as the network size expands.

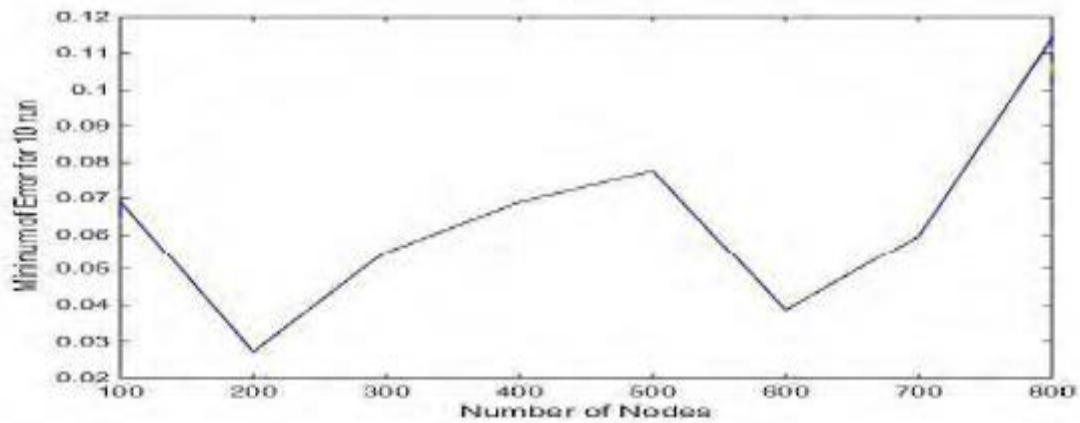
In summary, the data from Table 3 and the accompanying graph validate the algorithm's robustness and suitability for scenarios with a growing number of nodes. Its ability to consistently reduce the error percentage with increased node density underscores its efficiency and reliability in addressing localization challenges within underwater wireless sensor networks.

Figure 16 shows the average error graph for 10 times of execution with different number of nodes.



**Figure 16. Average error in 10 runs**

As it is clear from Figure 16, as the number of nodes increases, the average error for 10 times of execution will decrease. And Figure 17 shows the graph of the lowest error for 10 times of execution with different number of nodes.



**Figure 17. The least error in 10 runs**

# **Chapter 5**

## **Conclusion and suggestions**

## **Introduction**

In the realm of underwater wireless sensor networks (UWSNs), precise localization of sensor nodes stands as a critical cornerstone for numerous applications, from environmental monitoring to underwater navigation. Achieving accurate node positioning in the challenging underwater environment has spurred the development of innovative algorithms and methodologies. The following content delves into a comprehensive exploration of one such algorithm, a proposed approach that combines the power of Particle Swarm Optimization (PSO) and Time of Arrival (TOA) distance measurements.

This algorithm has undergone rigorous testing and analysis within a simulated environment using MATLAB software. The outcomes offer valuable insights into its performance and efficacy in underwater sensor networks. By scrutinizing tables, figures, and comparative data, we gain a deeper understanding of how this algorithm addresses key localization challenges, adapts to varying network sizes, and excels in environments characterized by a multitude of sensor nodes. The subsequent sections dissect the findings, unveil trends and patterns in error rates, and present a comparative analysis with existing underwater locator algorithms. Through this exploration, we aim to underscore the algorithm's potential, particularly in scenarios where a dense network of sensor nodes is deployed, signifying its significance in advancing the capabilities of underwater wireless sensor networks.

### **5.1. Discussion and conclusion**

The research presented in the previous chapters offers a compelling insight into the challenging domain of underwater sensor network localization. The core focus of this study was the development and evaluation of a novel method for accurately determining the coordinates of unknown nodes within underwater

environments. This method, powered by the Particle Swarm Optimization (PSO) algorithm, sought to address the critical need for improved accuracy and efficiency in underwater sensor node localization.

In the course of this investigation, several key findings have emerged. Firstly, the proposed algorithm showcased remarkable promise by outperforming existing underwater location algorithms. Its superiority became increasingly apparent as the number of nodes within the network grew, particularly in scenarios characterized by high node density. This signifies its scalability and adaptability, crucial attributes in real-world applications where large numbers of sensor nodes are deployed in complex underwater settings.

Moreover, the algorithm demonstrated an impressive ability to optimize localization accuracy while minimizing the time required for node localization. This efficiency factor is of paramount importance, especially in dynamic underwater environments where rapid decision-making is imperative.

The comprehensive comparative analysis conducted in earlier chapters, juxtaposing underwater and ground-based sensor networks, underscored the unique challenges faced by underwater localization. It emphasized the significance of pioneering approaches like the one presented here, which not only enhance accuracy but also accommodate the inherent complexities of underwater settings.

In conclusion, this research marks a significant stride forward in the field of underwater sensor network localization. It offers a robust and adaptable solution, driven by the PSO algorithm, capable of addressing the unique challenges posed by underwater environments. As we embark on new frontiers of underwater exploration, environmental monitoring, and navigation, this research holds the promise of delivering more accurate and efficient data collection from the depths of our oceans and waterways.

## 5.2. Practical suggestions

Certainly, here are some practical suggestions that can be considered based on the research findings and the developed algorithm for underwater sensor network localization:

1. **Real-World Deployment:** Consider implementing the proposed algorithm in real-world underwater sensor networks. Collaborate with organizations and institutions involved in oceanography, environmental monitoring, or underwater exploration to field-test and validate the algorithm's performance under actual underwater conditions.
2. **Sensor Node Enhancements:** Explore opportunities to enhance the capabilities of sensor nodes themselves. Investigate the development of sensors with improved accuracy, range, and communication capabilities, as these factors can significantly impact localization accuracy.
3. **Energy-Efficiency:** Optimize the algorithm for energy efficiency. In underwater environments, where power sources may be limited, minimizing energy consumption is crucial. Implement sleep and wake-up modes for nodes to extend their operational lifespan.
4. **Noise Mitigation:** Continue researching noise mitigation techniques. Underwater environments are notorious for signal interference and noise, which can affect distance measurements. Developing more robust noise-filtering algorithms can further enhance localization accuracy.
5. **Integration with Existing Systems:** Explore opportunities to integrate the algorithm with existing underwater sensor networks and systems. This can provide immediate benefits in terms of improved localization accuracy without the need for a complete network overhaul.
6. **Data Fusion:** Investigate data fusion techniques that combine information from multiple sensors, including acoustic and non-acoustic sensors, to

enhance localization accuracy. Fusion algorithms can mitigate the impact of environmental variability on localization results.

7. Collaborative Research: Collaborate with other researchers, institutions, or companies involved in underwater sensor network technologies. Pool resources and expertise to further advance the state-of-the-art in underwater localization and sensor network capabilities.
8. User-Friendly Tools: Develop user-friendly software tools and interfaces that allow end-users, such as marine scientists or environmental agencies, to easily deploy and manage underwater sensor networks using the proposed algorithm.
9. Documentation and Knowledge Sharing: Document the algorithm, its parameters, and best practices comprehensively. Share this knowledge through academic publications, conferences, and open-source platforms to facilitate its adoption and further refinement by the scientific community.
10. Regulatory Compliance: Stay informed about and adhere to regulatory requirements and guidelines for underwater sensor network deployments, especially in sensitive marine ecosystems. Ensure that the proposed algorithm and sensor nodes comply with environmental regulations.

By considering these practical suggestions, researchers and practitioners can work towards the effective implementation and continued advancement of the developed algorithm, contributing to more accurate and efficient underwater sensor network localization in various applications and industries.

### **5.3. Future research**

Future research in the domain of underwater sensor network localization can explore several promising avenues and emerging challenges:

1. Multi-Modal Sensing: Investigate the integration of various sensor modalities, such as acoustic, optical, and magnetic sensors, to enable multi-

modal data fusion. This can lead to more robust and accurate localization results, especially in complex underwater environments.

2. **Machine Learning Techniques:** Explore the application of machine learning and deep learning algorithms for underwater sensor node localization. Neural networks can learn and adapt to underwater conditions, potentially improving accuracy and reducing the impact of noise.
3. **Energy-Efficient Localization:** Develop energy-efficient localization algorithms that prioritize power conservation. Investigate techniques like duty cycling, energy-aware routing, and node mobility to extend the lifespan of underwater sensor networks.
4. **Distributed Localization:** Research distributed localization techniques that allow sensor nodes to collaborate in determining their positions autonomously. Decentralized algorithms can enhance scalability and resilience in large-scale underwater networks.
5. **Hybrid Localization Approaches:** Investigate hybrid localization approaches that combine GPS-based localization when sensors are at the water's surface with acoustic or other methods for underwater positioning. This can address the transition between underwater and surface operations.
6. **Environmental Sensing:** Extend the capabilities of underwater sensor networks beyond location determination to include environmental sensing, such as water quality monitoring, habitat mapping, and oceanographic data collection.
7. **Security and Privacy:** Develop robust security mechanisms to protect underwater sensor networks from threats and attacks. Address issues related to data integrity, confidentiality, and network authentication.
8. **Human-Robot Collaboration:** Explore the potential for human-robot collaboration in underwater sensor network maintenance and deployment.

Autonomous underwater vehicles (AUVs) can assist in sensor node placement and network management tasks.

9. **Long-Term Monitoring:** Investigate techniques and technologies for long-term underwater monitoring. Design sensor nodes with extended battery life and consider methods for remote recharging or energy harvesting.
10. **Standardization and Interoperability:** Contribute to the development of standardized protocols and interoperable solutions for underwater sensor networks. This can promote collaboration among researchers and ensure compatibility between different sensor network deployments.
11. **Environmental Impact Assessment:** Conduct studies to assess the environmental impact of underwater sensor network deployments, especially in sensitive marine ecosystems. Ensure that network operations minimize disruption to aquatic life.
12. **Underwater Communication Advances:** Keep pace with developments in underwater communication technologies, such as acoustic modems and underwater wireless communication standards, to improve data exchange and network performance.
13. **Real-Time Data Processing:** Develop real-time data processing and analytics techniques to extract valuable insights from the vast amount of data collected by underwater sensor networks. This can support timely decision-making in applications like disaster response and ocean exploration.

Future research endeavors in these areas can advance the field of underwater sensor network localization, leading to more accurate, efficient, and environmentally responsible solutions with diverse applications in marine science, environmental monitoring, underwater exploration, and beyond.

## References

1. Gola, K. K., Dhingra, M., Gupta, B., & Rathore, R. (2023). An empirical study on underwater acoustic sensor networks based on localization and routing approaches. *Advances in Engineering Software*, 175, 103319.
2. Yadav, N., & Khilar, P. M. (2023). An efficient 3D localization algorithm for compensating stratification effect in underwater acoustic sensor network. *Transactions on Emerging Telecommunications Technologies*, e4772.
3. Zhang, T. (2023). Research on positioning technology in underwater wireless sensor network. *Journal of Computing and Electronic Information Management*, 10(2), 60-63.
4. Sathish, K., Venkata, R. C., Anbazhagan, R., & Pau, G. (2023, March). Review of Localization and Clustering in USV and AUV for Underwater Wireless Sensor Networks. In *Telecom* (Vol. 4, No. 1, pp. 43-64). Multidisciplinary Digital Publishing Institute.
5. Liu, H., Xu, B., & Liu, B. (2023). A novel predictive localization algorithm for underwater wireless sensor networks. *Wireless Networks*, 29(1), 303-319.
6. Rahman, M. M., & Nisher, S. A. (2023, January). Predicting Average Localization Error of Underwater Wireless Sensors via Decision Tree Regression and Gradient Boosted Regression. In *Proceedings of International Conference on Information and Communication Technology for Development: ICICTD 2022* (pp. 29-41). Singapore: Springer Nature Singapore.
7. Cao, Q., Kang, W., Ma, R., Liu, G., & Chang, L. (2023). DDQN path planning for unmanned aerial underwater vehicle (UAUV) in underwater acoustic sensor network. *Wireless Networks*, 1-13.

8. Gola, K. K., Dhingra, M., Gupta, B., & Rathore, R. (2023). An empirical study on underwater acoustic sensor networks based on localization and routing approaches. *Advances in Engineering Software*, 175, 103319.
9. Li, L., Qiu, Y., & Xu, J. (2022, April). A K-means clustered routing algorithm with location and energy awareness for underwater wireless sensor networks. In *Photonics* (Vol. 9, No. 5, p. 282). MDPI.
10. Menon, V. G., Midhunchakkaravarthy, D., Sujith, A., John, S., Li, X., & Khosravi, M. R. (2022). Towards energy-efficient and delay-optimized opportunistic routing in underwater acoustic sensor networks for IoUT platforms: an overview and new suggestions. *Computational Intelligence and Neuroscience*, 2022.
11. Menaka, D., & Gauni, S. (2022). An energy efficient dead reckoning localization for mobile Underwater Acoustic Sensor Networks. *Sustainable Computing: Informatics and Systems*, 36, 100808.
12. Nain, M., Goyal, N., Rani, S., Popli, R., Kansal, I., & Kaur, P. (2022). Hybrid optimization for fault-tolerant and accurate localization in mobility assisted underwater wireless sensor networks. *International Journal of Communication Systems*, 35(17), e5320.
13. Gola, K. K., Dhingra, M., Gupta, B., & Rathore, R. (2023). An empirical study on underwater acoustic sensor networks based on localization and routing approaches. *Advances in Engineering Software*, 175, 103319.
14. Qin, Y., Liu, H., Sun, Y., Dong, M., & Yin, R. (2023). Asymmetric ranging algorithm based on signal emergence angle for underwater wireless sensor network. *Journal of Ambient Intelligence and Humanized Computing*, 14(3), 2861-2871.
15. Shah, S. M., Sun, Z., Zaman, K., Hussain, A., Ullah, I., Ghadi, Y. Y., ... & Nasimov, R. (2023). Advancements in Neighboring-Based Energy-Efficient

- Routing Protocol (NBEER) for Underwater Wireless Sensor Networks. *Sensors*, 23(13), 6025.
16. Saeed, K., Khalil, W., Al-Shamayleh, A. S., Ahmed, S., Akhunzada, A., Alharthi, S. Z., & Gani, A. (2023). A comprehensive analysis of security-based schemes in underwater wireless sensor networks. *Sustainability*, 15(9), 7198.
  17. Wang, K., Gao, Y., Dragone, M., Petillot, Y., & Wang, X. (2023, July). A Deep Echo State Network-Based Novel Signal Processing Approach for Underwater Wireless Optical Communication System with PAM and OFDM Signals. In *Photonics* (Vol. 10, No. 7, p. 763). MDPI.
  18. Singh, R., & Jain, A. (2023). Deep Reinforcement Learning Enhanced Geographic and Cooperative Opportunistic Routing Protocol for Underwater Wireless Sensor Networks. *International Journal of Intelligent Systems and Applications in Engineering*, 11(7s), 441-446.
  19. Zhao, Z., Liu, C., Guang, X., & Li, K. (2023). A Transmission-Reliable Topology Control Framework Based on Deep Reinforcement Learning for UWSNs. *IEEE Internet of Things Journal*.
  20. Xia, N., Luo, L., Wang, Y., Zhang, K., Yang, J., Wu, Q., & Yuan, C. (2023). Improved AP-Clustering-Based AUV-Aided Data Collection Method for UWSNs. *Electronics*, 12(14), 3116.
  21. Sathish, K., Venkata, R. C., Anbazhagan, R., & Pau, G. (2023, January). Review of Localization and Clustering in USV and AUV for Underwater Wireless Sensor Networks. In *Telecom* (Vol. 4, No. 1, pp. 43-64). MDPI.
  22. Junejo, N. U. R., Sattar, M., Adnan, S., Sun, H., Adam, A. B., Hassan, A., & Esmail, H. (2023). A Survey on Physical Layer Techniques and Challenges in Underwater Communication Systems. *Journal of Marine Science and Engineering*, 11(4), 885.

23. Wu, R., Wang, Y., Huang, L., Zou, Z., & Wu, B. (2023). An Improved Time Delay Measurement Method for the Long-Distance Underwater Environment. *Sensors*, 23(8), 4027.
24. Chu, H., Li, C., Wang, H., Wang, J., Tai, Y., Zhang, Y., ... & Benezeth, Y. (2023). A deep-learning based high-gain method for underwater acoustic signal detection in intensity fluctuation environments. *Applied Acoustics*, 211, 109513.
25. Robertson, E., Pires, D. G., Dai, K., Free, J., Kimmel, K., Litchinitser, N., ... & Johnson, E. G. (2023). Constant-Envelope Modulation of Ince-Gaussian Beams for High Bandwidth Underwater Wireless Optical Communications. *Journal of Lightwave Technology*.
26. Yi, J., Qiao, G., Yuan, F., Tian, Y., & Wang, X. (2023). Sensor Deployment Strategies for Target Coverage Problems in Underwater Acoustic Sensor Networks. *IEEE Communications Letters*, 27(3), 836-840.
27. Wang, J., Cui, X., Jin, G., Zhao, Y., Wen, X., & Zhang, Y. (2023). Effect of in-situ Ni interlayer on the microstructure and corrosion resistance of underwater wet 316L stainless steel laser cladding layer. *Surface and Coatings Technology*, 458, 129341.
28. Singh, R., & Jain, A. (2023). Deep Reinforcement Learning Enhanced Geographic and Cooperative Opportunistic Routing Protocol for Underwater Wireless Sensor Networks. *International Journal of Intelligent Systems and Applications in Engineering*, 11(7s), 441-446.
29. Zhu, Y., Liu, Y., Zhang, L., Wang, Y., Niu, W., & Huang, C. (2022). Dynamic model and motion characteristics of an underwater glider with manta-inspired wings. *Journal of Bionic Engineering*, 19(1), 1-15.
30. Kumar, R., Shekhar, S., Garg, H., Kumar, M., Sharma, B., & Kumar, S. (2022). EESR: Energy efficient sector-based routing protocol for reliable data communication in UWSNs. *Computer Communications*, 192, 268-278.

31. Yang, X., Zhou, Y., Wang, R., & Tong, F. (2023). Research and Implementation on a Real-time OSDM MODEM for Underwater Acoustic Communications. *IEEE Sensors Journal*.
32. Zia, M. Y. I., Otero, P., Siddiqui, A., & Poncela, J. (2021). Design of a web based underwater acoustic communication testbed and simulation platform. *Wireless Personal Communications*, 116, 1171-1193.
33. Guo, J., Liu, J., Liu, M., Zhang, T., Yang, T., & Cui, J. H. (2021, November). Analysis of the factors affecting the communication between AUV and acoustic modem: from the perspective of experiments. In *Proceedings of the 15th International Conference on Underwater Networks & Systems* (pp. 1-5).
34. Sengar, S., & Kumar, S. Underwater Wireless Sensor Networks (UWSNs) Centric Acoustic Communication: Potential Applications and Research Challenges.
35. Saeed, K., Khalil, W., Al-Shamayleh, A. S., Ahmad, I., Akhunzada, A., ALharethi, S. Z., & Gani, A. (2023). Analyzing the Impact of Active Attack on the Performance of the AMCTD Protocol in Underwater Wireless Sensor Networks. *Sensors*, 23(6), 3044.
36. Li, Y., Liu, M., Zhang, S., Zheng, R., Lan, J., & Dong, S. (2022). Particle System-Based Ordinary Nodes Localization With Delay Compensation in UWSNs. *IEEE Sensors Journal*, 22(7), 7157-7168.
37. Zhao, Z., Liu, C., Guang, X., & Li, K. (2023). MLRS-RL: An Energy Efficient Multi-Level Routing Strategy Based on Reinforcement Learning in Multimodal UWSNs. *IEEE Internet of Things Journal*.
38. Narla, V. L., Kachhoria, R., Arun, M., Haldorai, A., Vijendra Babu, D., & Jos, B. M. (2022). IoT based energy efficient multipath power control for underwater sensor network. *International Journal of System Assurance Engineering and Management*, 1-10.

39. Datta, A., & Dasgupta, M. (2022). BE-Sync: a bandwidth efficient time synchronization for underwater wireless sensor networks. In *Recent Trends in Electronics and Communication: Select Proceedings of VCAS 2020* (pp. 57-69). Springer Singapore.
40. Goyal, N., Kumar, A., Popli, R., Awasthi, L. K., Sharma, N., & Sharma, G. (2022). Priority based data gathering using multiple mobile sinks in cluster based UWSNs for oil pipeline leakage detection. *Cluster Computing*, 1-14.
41. Diaz, E., Ramos, V., & Aguilar-Gonzalez, R. (2022). Simulation-based analysis of clock synchronization for underwater wireless sensor networks. In *ITM Web of Conferences* (Vol. 42, p. 01015). EDP Sciences.
42. Qin, Y., Sun, Y., Liu, H., Yin, R., Dong, M., & Zhang, L. (2023). Joint time synchronization and localization of underwater mobile node. *Wireless Networks*, 1-10.
43. Jin, X., An, J., Du, C., Pan, G., Wang, S., & Niyato, D. (2023). Frequency-Offset Information Aided Self Time Synchronization Scheme for High-Dynamic Multi-UAV Networks. *IEEE Transactions on Wireless Communications*.
44. Agustoni, M., Castello, P., Frigo, G., & Gallus, G. (2023). Time Synchronization Sensitivity in SV-based PMU Consistency Assessment. *Metrology*, 3(1), 99-112.
45. Bao, Y., Zhang, Y., Zhang, B., & Wang, B. (2023). Resilient fixed-time synchronization of neural networks under DoS attacks. *Journal of the Franklin Institute*, 360(1), 555-573.
46. Ozyurt, A. B., & Popoola, W. O. (2023, March). Analysis of Over-the-Air Time Synchronization for Industrial LiFi Networks. In *2023 IEEE Wireless Communications and Networking Conference (WCNC)* (pp. 1-5). IEEE.

47. Marwan, N. (2023). Challenges and perspectives in recurrence analyses of event time series. *Frontiers in Applied Mathematics and Statistics*, 9, 1129105.
48. Le, L. M., Ly, H. B., Pham, B. T., Le, V. M., Pham, T. A., Nguyen, D. H., ... & Le, T. T. (2019). Hybrid artificial intelligence approaches for predicting buckling damage of steel columns under axial compression. *Materials*, 12(10), 1670.

## چکیده

شبکه‌های حسگر بی‌سیم زیر آب (UWSN) به عنوان ابزاری محوری برای کاوش و نظارت بر اعماق پنهان اقیانوس‌ها و آبراه‌ها پدیدار شده‌اند. دستیابی به همگام‌سازی دقیق و موقعیت‌یابی دقیق گره‌های حسگر در محیط چالش‌برانگیز زیر آب، یک تلاش حیاتی است. این تحقیق یک روش پیشگام را ارائه می‌دهد که برای ارائه راه حل بهینه برای هماهنگ‌سازی و موقعیت‌یابی در UWSN طراحی شده است.

روش پیشنهادی از قدرت الگوریتم‌های پیشرفته، از جمله اندازه‌گیری‌های بهینه‌سازی ازدحام ذرات (PSO) و زمان رسیدن (TOA) بهره می‌برد تا قابلیت‌های محلی‌سازی شبکه‌های حسگر زیر آب را افزایش دهد. از طریق شبیه‌سازی‌های گسترده و تحلیل‌های مقایسه‌ای، عملکرد الگوریتم در برابر تکنیک‌های محلی‌سازی موجود ارزیابی می‌شود و دقت و کارایی برتر آن را به‌ویژه در شبکه‌های زیر آب پرجمعیت نشان می‌دهد. این تحقیق نه تنها یک الگوریتم قوی برای هماهنگ‌سازی و موقعیت‌یابی UWSN ارائه می‌کند، بلکه چالش‌ها و فرصت‌های منحصربه‌فرد ذاتی در اکتشاف زیر آب و نظارت بر محیط‌زیست را روشن می‌کند. از آنجایی که تقاضا برای جمع‌آوری دقیق داده‌های زیر آب همچنان در حال افزایش است، این روش نوید انقلابی در توانایی ما برای درک و هدایت دنیای مرموز زیر امواج را می‌دهد.

**کلمات کلیدی:** شبکه‌های حسگر بی‌سیم زیر آب، همگام‌سازی، پروتکل‌های همگام‌سازی زمان، گره‌های لنگر، اطلاعات اتصال، بهره‌وری انرژی، الگوریتم‌های آگاه از انرژی.



دانشکده فنی و مهندسی  
رشته مهندسی فناوری اطلاعات

عنوان:

ارائه یک روش بهینه برای همگام سازی و موقعیت یابی در شبکه های حسگر بی  
سیم زیر آب

استاد راهنما:

دکتر یعقوب فرجامی

دانشجو:

میس الغراوی

پاییز 1402 شمسی